



# PROGRAMME SCHEDULE

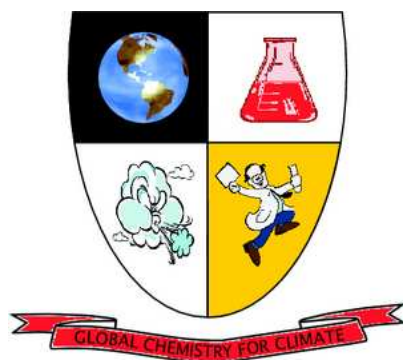
Tuesday, December 7<sup>th</sup>, 2004

<b>12:50-13:40</b>	 <b>Lunch</b> 
<b>13:40-13:58</b>	<p>Mark Fruman, U of T</p> <p>The effects of nonhydrostatic terms on conditions for equatorial symmetric stability</p>
<b>13:58-14:16</b>	<p>Kirill Semeniuk, York University</p> <p>Modelling the October-November 2003 solar proton event in CMAM</p>

# The effects of nonhydrostatic terms on conditions for equatorial symmetric stability

Mark Fruman

University of Toronto



12<sup>th</sup> Annual GCC Workshop, Toronto  
Tuesday, December 7<sup>th</sup>, 2004

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1. Introduction to SYMMETRIC STABILITY

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2. Importance of “NONHYDROSTATIC” CORIOLIS TERMS

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2. Importance of “NONHYDROSTATIC” CORIOLIS TERMS
3. Reconsidered CONDITIONS FOR STABILITY
4. EXAMPLES
5. Further with ANELASTIC MODEL



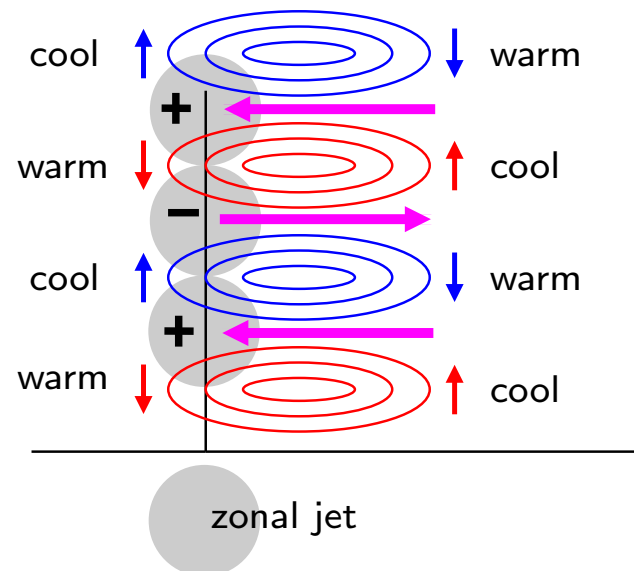
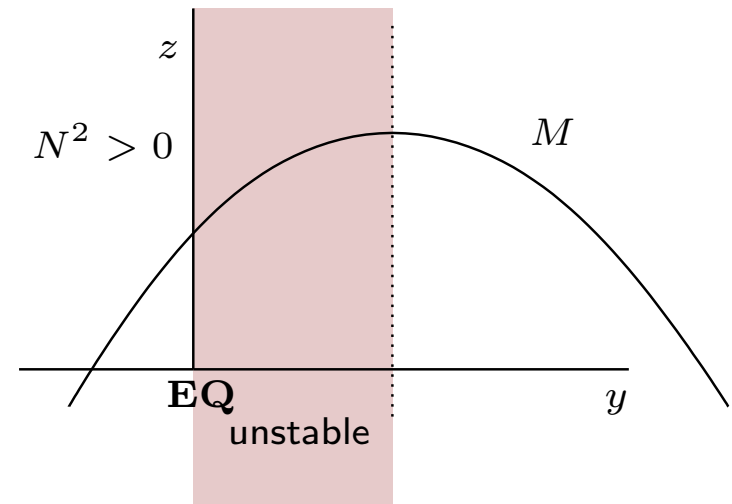
# 1. SYMMETRIC STABILITY

- Refers to the stability of an equilibrium which is **symmetric** in one direction under disturbances which have the same symmetry.
- In this case, we consider stability of **zonally symmetric** solutions to **adiabatic Euler Equations** in atmosphere to zonally symmetric disturbances
- System is **2** dimensional:  $(\phi, r)$ , with **2** material invariants:
  - **Absolute angular momentum**  $m \equiv \Omega r^2 \cos^2 \phi + ur \cos \phi$   
(because of zonal symmetry)
  - **Potential temperature**  $\theta$  (or entropy)  
(because flow is adiabatic)
- and **2** forces acting on air parcels: **gravity** and the **Coriolis force**

- Most geophysical applications use the **Primitive Equations**, in which the Coriolis force is strictly **horizontal** ( $\perp$  gravity).  
 $\Rightarrow$  In that case,  $m$  is to displacement in **latitude** as  
 $\theta$  is to displacement in **height**.
- The conditions for symmetric stability are:
  - \*  $\theta$  increases with height (**static stability**)
  - \*  $m$  increases towards the equator at constant altitude (**Rayleigh centrifugal stability theorem**)
  - \* **Potential vorticity** has the same sign as latitude
- If Coriolis force is not orthogonal to gravity, conditions do not decouple so neatly, but potential vorticity condition generalizes.

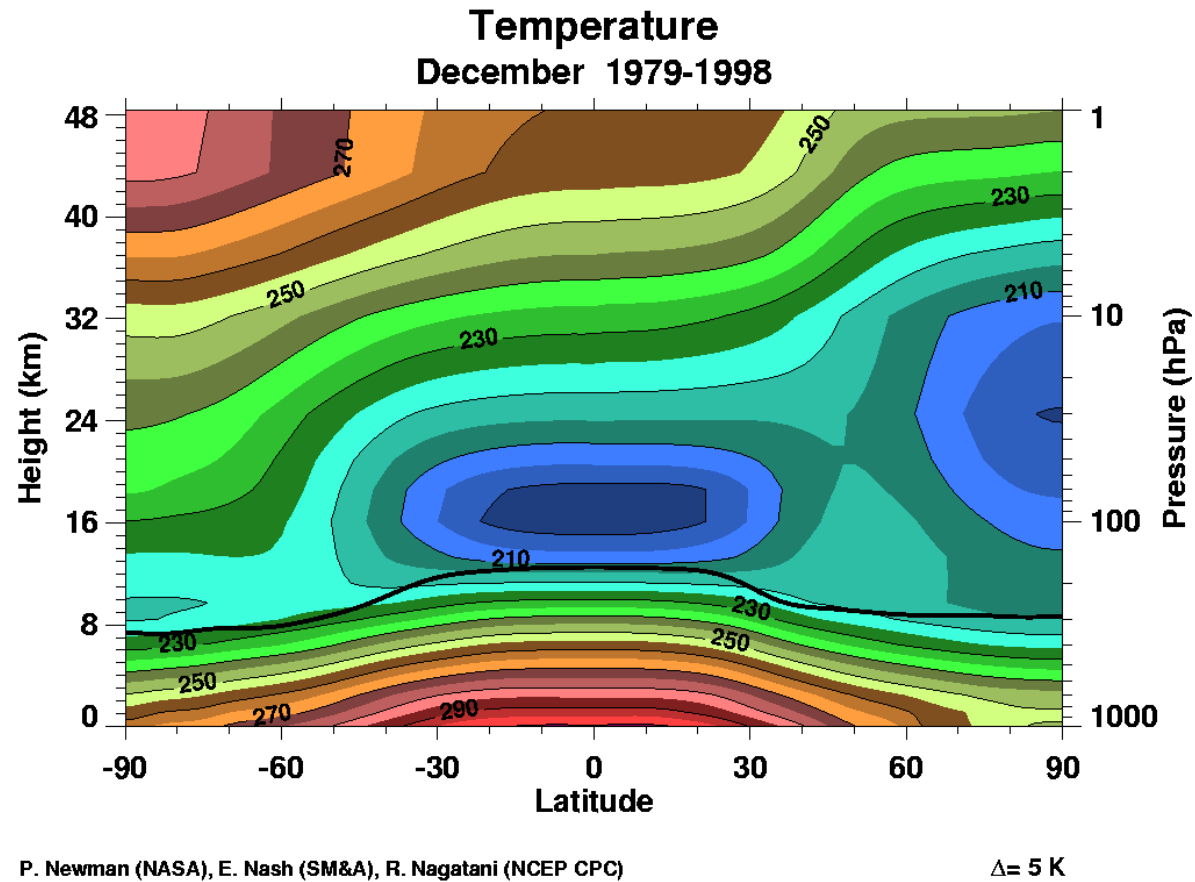
## Dunkerton problem

- Meridional velocity shear  $U = \lambda y$  at the equator violates Rayleigh stability condition in interval  $0 < y < \lambda/\beta$
- Dunkerton (1981) solved **linearized, hydrostatic** equations on  $\beta$ -plane
- Solution exhibits
  - “Taylor Vortices” in unstable region
  - **zonal jets** over equator
  - **pancake structures** in temperature perturbation field



## Geophysical Context

- Under **solstice** conditions radiative equilibrium temperature has meridional gradient at equator
  - ⇒ can only balance with **cross equatorial flow**
  - ⇒ advects angular momentum maximum (and zero potential vorticity line) across equator
  - ⇒ drives system towards inertially unstable state
- presumably, undetectable **adjustment** continuously taking place
  - ⇒ flattens temperature and angular momentum across equatorial region
- In models and satellite data, see evidence of inertial adjustment having taken place (**pancake structures** in temperature field, **stacked rolls and jets** in velocity field)



- Zonal mean temperature for December, averaged over 16 year period (from NCEP)
- Notice temperature gradients flatten over equatorial region.

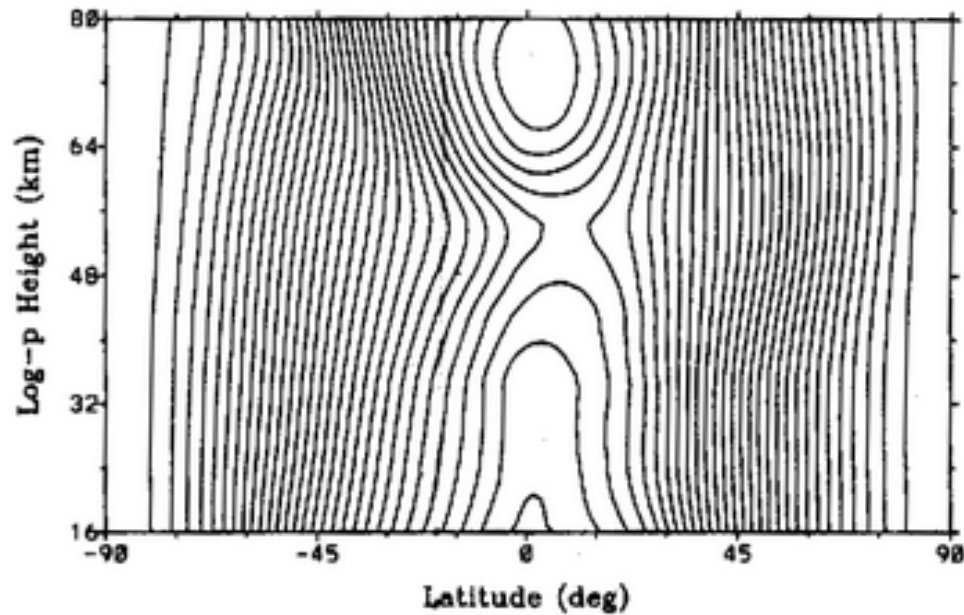


FIG. 19. January mean CMAM absolute angular momentum distribution ( $10^8 \text{ m}^2 \text{ s}^{-1}$ ).

- Angular momentum gradient in winter hemisphere weakens due to cross equatorial flow
- Effect most pronounced at [stratopause](#) because of maximum ozone heating (and hence maximum gradient in  $T_{\text{rad}}$ ) and low density.

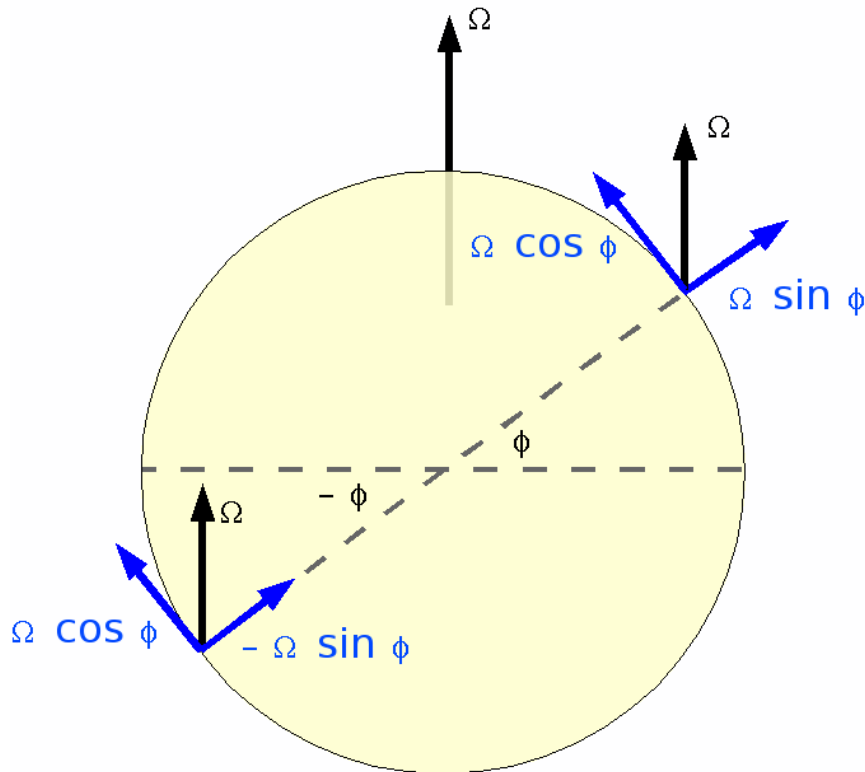
## 2. NON-HYDROSTATIC CORIOLIS TERMS

- Vertical pressure gradient very nearly balances gravity so vertical momentum equation is often replaced by **hydrostatic balance**
- Neglecting nonhydrostatic terms upsets conservation of energy and angular momentum principles unless more changes are made:
  - **Shallow atmosphere**:  $r \rightarrow a$
  - Vertical velocity  $w$  dropped from kinetic energy
  - Neglect metric terms involving  $w$
  - Neglect **Coriolis force terms** proportional to  $\cos \phi$

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i.e.: 
$$\frac{Dw}{Dt} = -\frac{1}{\rho} \frac{\partial p}{\partial r} - g + \boxed{\frac{u^2}{r} + \frac{v^2}{r} + 2\Omega u \cos \phi}$$

$$\frac{Du}{Dt} = \frac{uv}{\boxed{a}} \tan \phi - \boxed{\frac{uw}{r} - 2\Omega w \cos \phi} + 2\Omega v \sin \phi - \frac{1}{\rho \boxed{a} \cos \phi} \frac{\partial p}{\partial \lambda}$$



- Neglecting  $\cos \phi$  terms is equivalent to neglecting the component of  $\Omega$  parallel to the surface.
- Most significant near equator

- Near equator, one might use (extended) equatorial  $\beta$ -plane

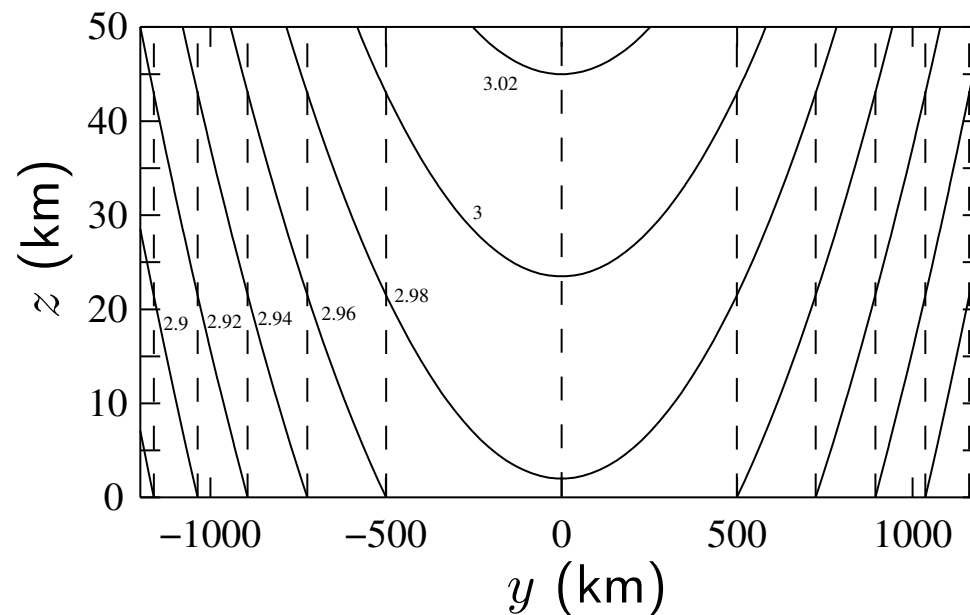
Let  $\beta \equiv \frac{2\Omega}{a}$  and  $\gamma \equiv 2\Omega$

Then  $\Omega = 2\Omega \cos \phi \hat{e}_\phi + 2\Omega \sin \phi \hat{e}_r \approx \gamma \hat{e}_y + \beta y \hat{e}_z$



- On (extended)  $\beta$ -plane, absolute angular momentum is  

$$m \equiv u - \frac{1}{2}\beta y^2 + \gamma z$$
- Non-hydrostatic terms make significant difference near equator - behold contours of “planetary angular momentum”



- Dashed lines are contours for hydrostatic case
- And symmetric stability is linked with gradients of angular momentum, so

### 3. STABILITY CONDITIONS

- Seek conditions for stability under **small amplitude** disturbances.
- Task is to derive conditions on a zonally symmetric equilibrium solution

- $u = U(y, z)$  ( $m = M(y, z)$ )
- $v = w = 0$
- $\theta = \Theta(y, z)$  and  $p = P(y, z)$   
(temperature  $T(y, z)$ , density  $D(y, z)$ )

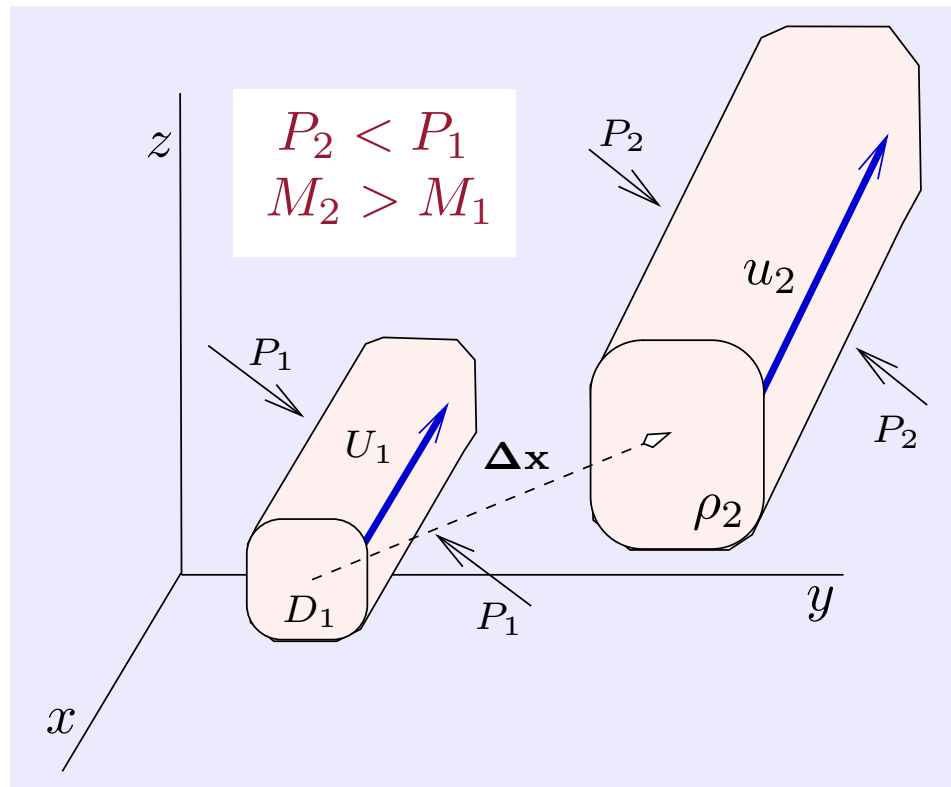
to the zonally symmetric equations of motion such that small amplitude disturbances do not grow.

- Use two complementary approaches:
  - Energy method
  - Parcel displacement method

## Energy method

- Construct energy-like functional  $\mathcal{A}_L(u, v, w, \rho, \theta; U, D, \Theta)$  (“pseudoenergy”) of the dependent variables and their equilibrium values such that  $\mathcal{A}_L$ :
  - is conserved
  - vanishes when evaluated at the equilibrium
  - has a critical point at the equilibrium
- If  $\mathcal{A}_L$  is positive for all states, then equilibrium is stable (sufficient condition).
- Usually a method for finite amplitude stability, but in this case, only applies to linear equations (large amplitude perturbations to density field can make pseudoenergy negative)

## Parcel displacement method



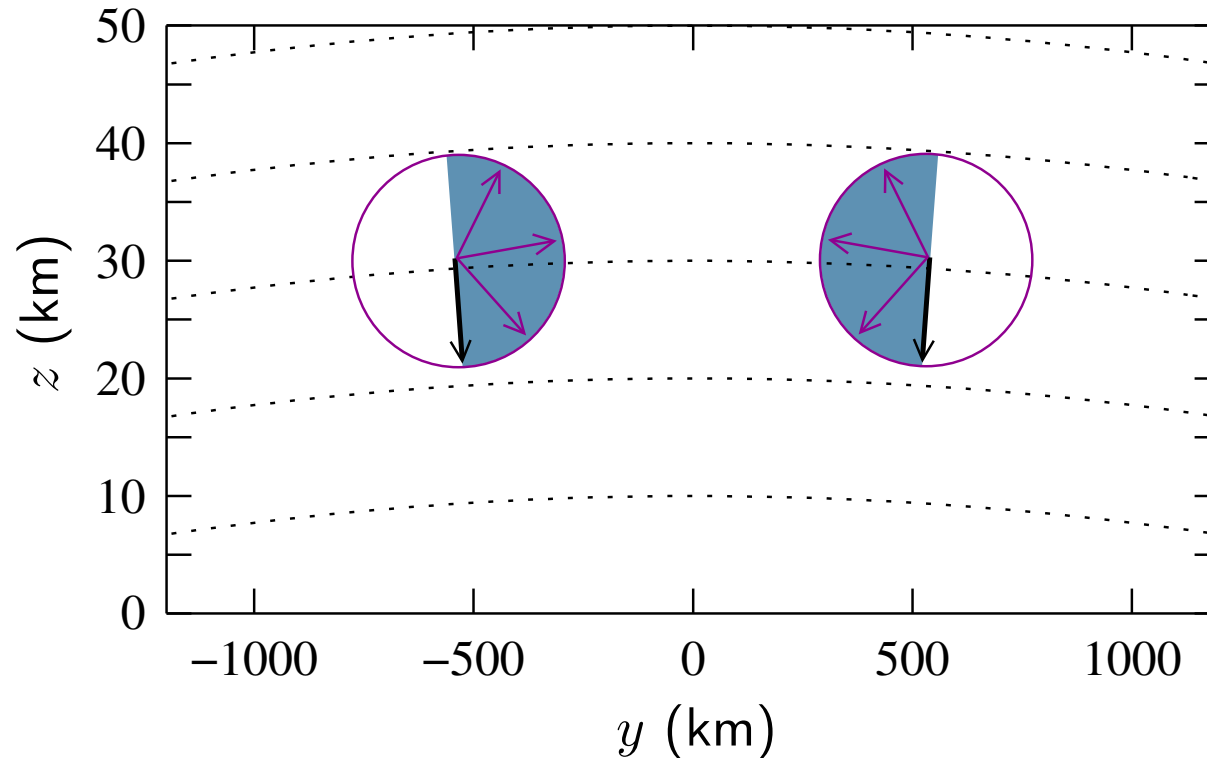
- Tube/ring displaced by  $\Delta \mathbf{x} \equiv (\Delta y, \Delta z)$ .
- Expands and accelerates, conserves  $\theta$  and  $m$ .
- Does not disturb pressure field.

- Project acceleration onto  $\Delta \mathbf{x}$ :  $\left[ \frac{Dv_2}{Dt}, \frac{Dw_2}{Dt} \right] \cdot \Delta \mathbf{x} = \Delta \mathbf{x}^T \mathcal{S} \Delta \mathbf{x}$

$\Rightarrow$  If **negative**  $\forall \Delta \mathbf{x}$  ( $\iff \mathcal{S}$  is **negative definite**), force on displaced tube is **restoring** and steady state is **stable**.

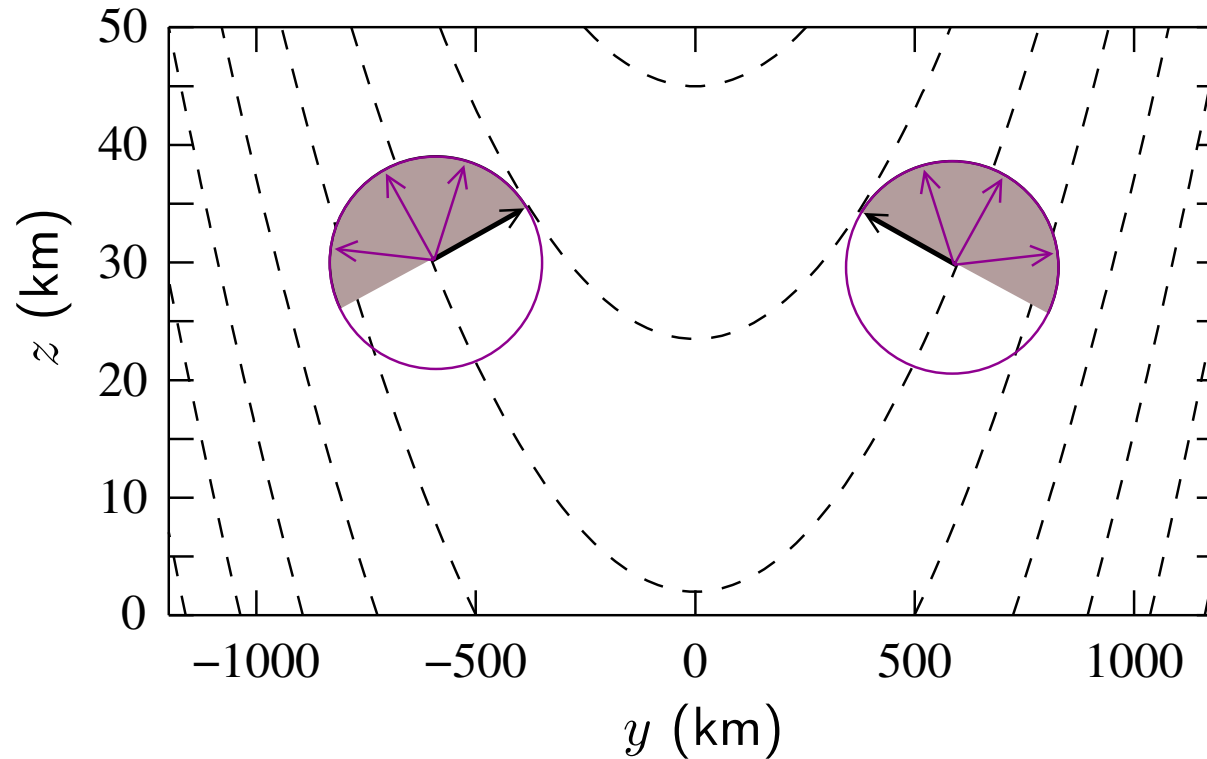
- The two methods give equivalent results.
- Notation:  $\partial(F, G) \equiv \frac{\partial F}{\partial y} \frac{\partial G}{\partial z} - \frac{\partial F}{\partial z} \frac{\partial G}{\partial y}$
- Sign of  $\partial(F, G)$  is given by **right hand rule** applied to  $\nabla F$  and  $\nabla G$ :  
 $\partial(F, G) > 0$  if  $\nabla F$  is “clockwise” of  $\nabla G$
- Conditions for stability are
  - $\frac{1}{Q} \partial(M, P) > 0$  (inertial stability)
  - $\frac{1}{Q} \partial(\Theta, M^{(p)}) > 0$  (static stability)
  - $yDQ \equiv y\partial(\Theta, M) > 0$  (symmetric stability)

## “Inertial Stability”



- Contours are curves of constant **pressure**.
- $\nabla M$  must be in coloured semicircle for static stability.
- Condition very close to hydrostatic condition  $yM_y < 0$ .

## “Static Stability”



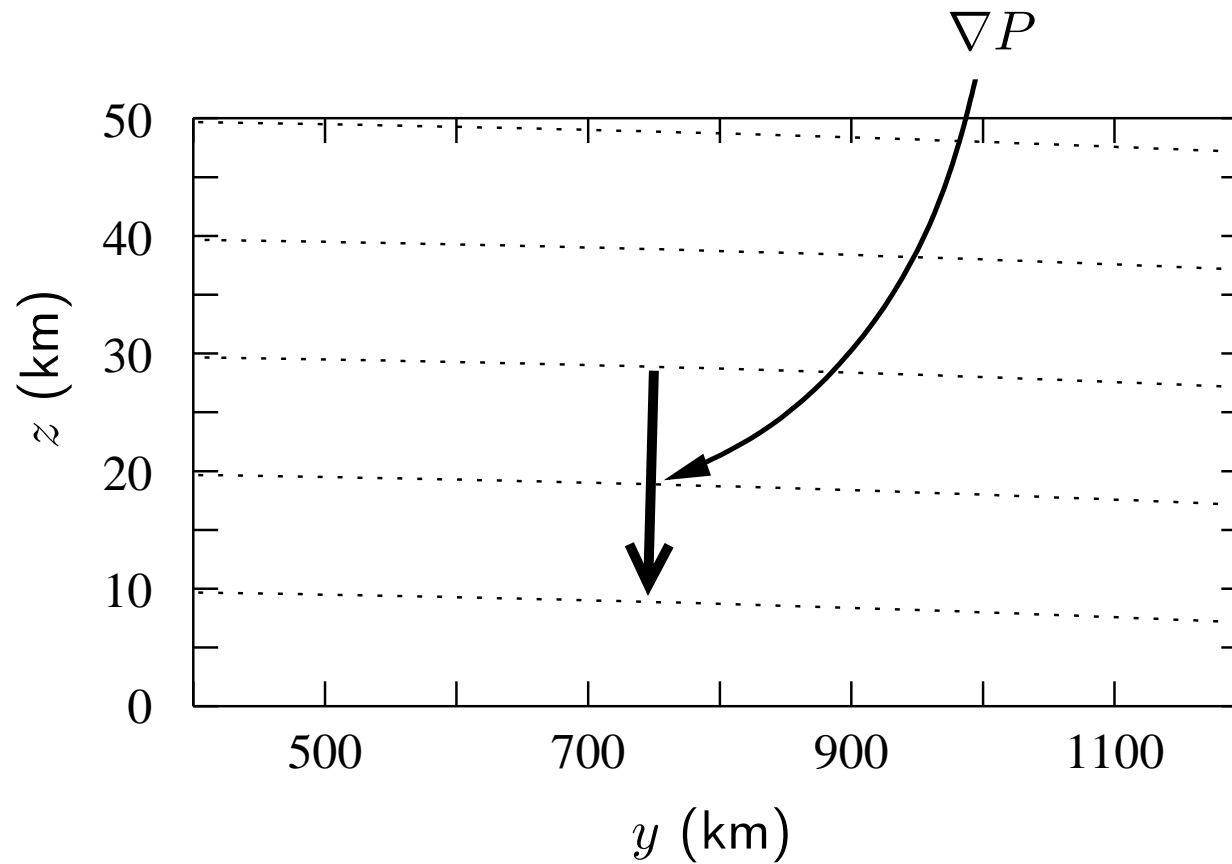
- Contours are curves of constant  $M^{(p)} \equiv -\frac{1}{2}\beta y^2 + \gamma z$ , tangent to local rotation vector  $\Omega \equiv \gamma \hat{e}_y + \beta y \hat{e}_z$ .
- $\nabla\Theta$  must be in coloured semicircle for static stability.

## A symmetrically unstable case:

- It is possible to satisfy the **inertial** and **static** stability conditions but to fail the “**symmetric**” stability condition.
- This occurs if the  $\ominus$  surfaces tilt up (enough, but not overturning) and the  $M$  surfaces tilt down (enough, but not overturning) so that  $Q$  is of the wrong sign.

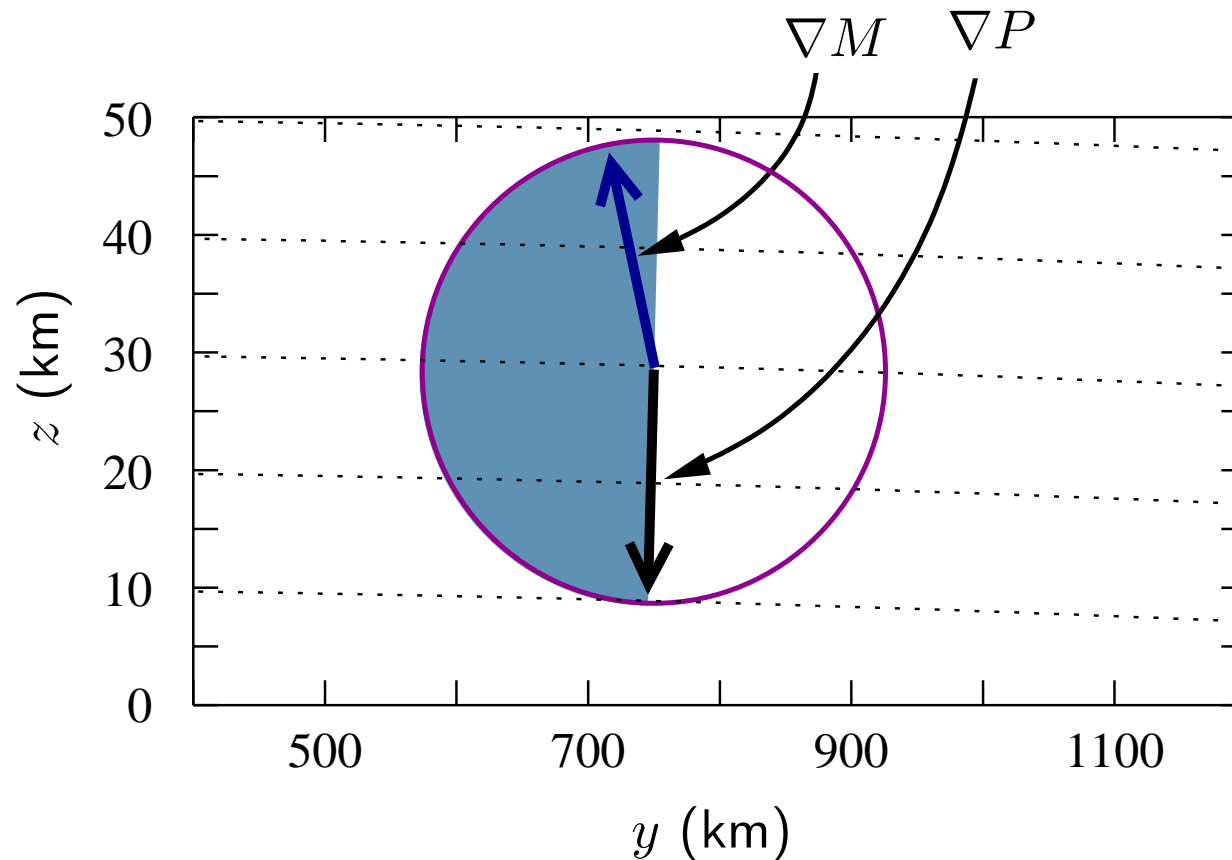


A symmetrically unstable case:



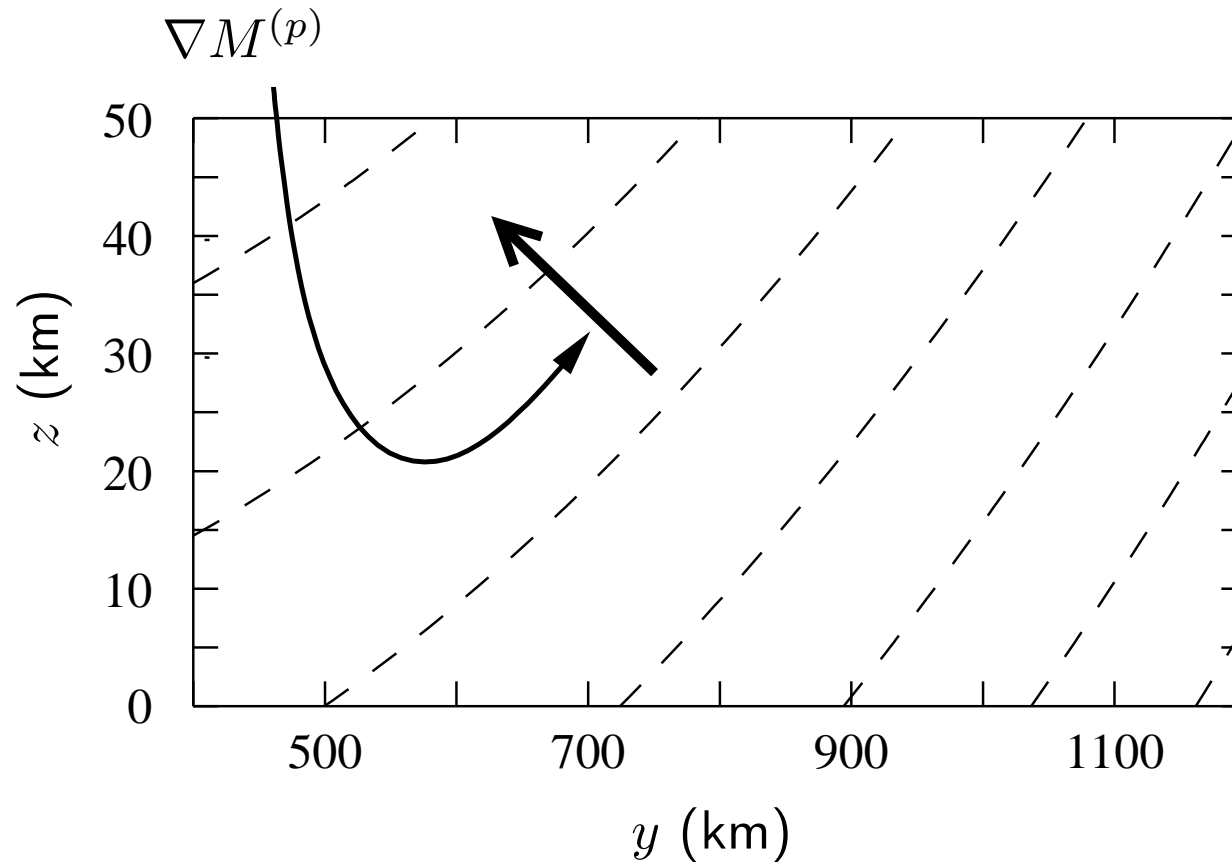
- Dotted lines are lines of constant pressure

A symmetrically unstable case:



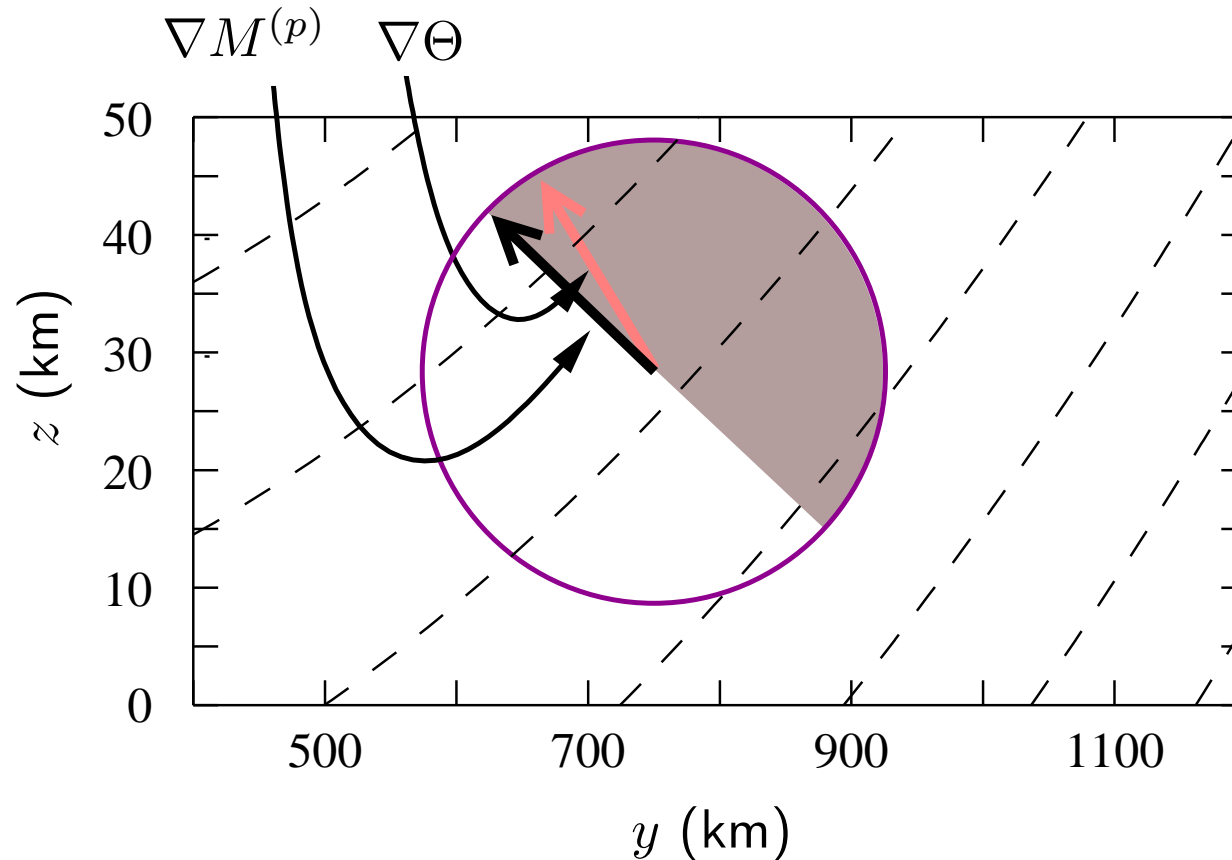
- Dotted lines are lines of constant pressure
- $\nabla M$  must be “clockwise” of  $\nabla P$  in N. hemisphere for stability.

A symmetrically unstable case:



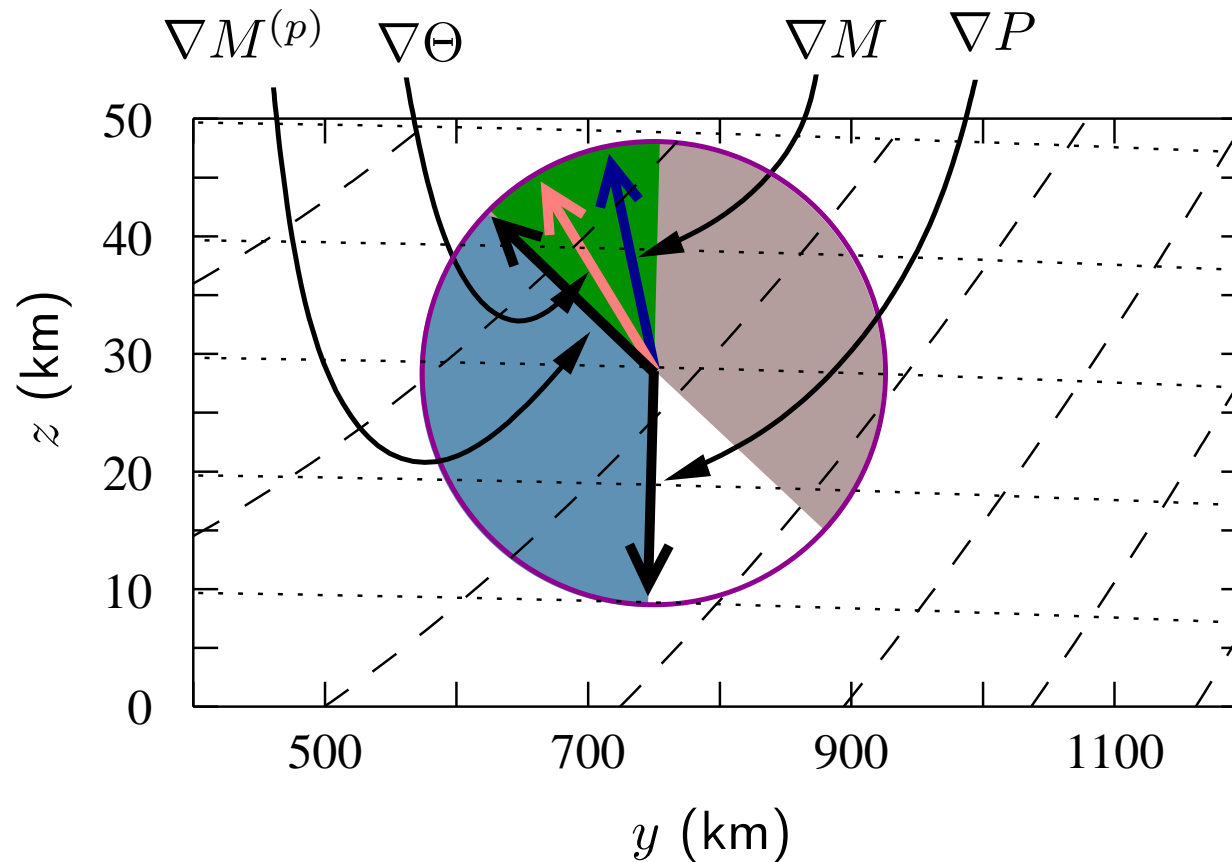
- Dashed lines are lines of constant planetary angular momentum.

A symmetrically unstable case:



- Dashed lines are lines of constant planetary angular momentum.
- $\nabla \Theta$  must be clockwise of  $\nabla M^{(p)}$  in N. hemisphere for stability.

A symmetrically unstable case:



- But  $\nabla\Theta$  must be clockwise of  $\nabla M$  for stability

$\Rightarrow$  This state is unstable!

## 4. EXAMPLES

- Not every combination of  $U$ ,  $\Theta$  and  $P$  is possible for an equilibrium - must satisfy ( $D = \text{Density}$ )

$$-\beta y U - \frac{1}{D} P_y = 0$$

$$\gamma U - g - \frac{1}{D} P_z = 0$$

- Exact solutions to balance equations can be calculated for simple cases - e.g. specifying **velocity** and **temperature** and solving for **pressure**.
- In each example, it is assumed that the atmosphere is an **ideal gas**; i.e.  $p = \rho RT$ .

## E1. Isothermal, solid-body rotation

- Uniform temperature:  $T = T_{00}$
- Uniform zonal velocity:  $U = U_{00}$
- If  $P_{00}$  is the pressure at the origin,

$$P(y, z) = P_{00} \exp \left[ -\frac{1}{2} \left( \frac{\beta U_{00}}{RT_{00}} \right) y^2 - \left( \frac{g - \gamma U_{00}}{RT_{00}} \right) z \right]$$

- Can write  $\Theta = \Theta(P, T)$ , and since  $\nabla T = 0$   $\nabla \Theta \parallel -\nabla P$
- The potential vorticity  $Q$  satisfies

$$DQ = \left( \frac{\beta g}{T_{00}} \right) y$$

$\Rightarrow$  Symmetrically stable!

## E2. Linear horizontal velocity shear

- Zonal velocity:  $U = \lambda y$
- Assume constant temperature over equator. Then pressure is

$$P(y, z) = P_{00} \left(1 - \frac{\gamma \lambda}{g} y\right)^{\left(\frac{g}{RT_{00}}\right) \left(\frac{\beta}{\gamma}\right) \left(\frac{g}{\gamma \lambda}\right)^2} \times \exp \left\{ -\frac{g}{RT_{00}} \left[ z + \frac{\beta}{\gamma} \left( \frac{g}{\gamma \lambda} y - \frac{1}{2} y^2 \right) \right] \right\}.$$

- Potential vorticity:

$$Q = \frac{\beta g}{DT} \left[ \left(1 + \frac{\gamma \lambda^2}{\beta g}\right) y - \frac{\lambda}{\beta} \left(1 + \frac{\gamma^2}{g^2} c_p T_{00}\right) \right]$$

$\Rightarrow$  Unstable in  $0 < y \lesssim \frac{\lambda}{\beta}$



## E3. Vertical temperature gradient

- Let  $T(0, z) = T_{00}(1 + \sigma z)$  and  $U(y) = \frac{1}{2}\beta'y^2$ . Pressure is:

$$P(y, z) = P_{00} \left\{ 1 + \sigma \left[ z - \frac{\beta g}{\beta' \gamma^2} \left( \ln \left( 1 - \frac{\beta' \gamma}{2g} y^2 \right) + \frac{\beta' \gamma}{2g} y^2 \right) \right] \right\}^{-\frac{g}{RT_{00}\sigma}}$$

- and potential vorticity

$$Q = \frac{1}{DT} \left\{ \left[ (g + \sigma c_p T_{00})(\beta - \beta') - \beta' \gamma^2 \left( \frac{c_p T_{00}}{g} \right) (1 + \sigma z) \right] y \right. \\ \left. + \frac{1}{2} \left[ \gamma \beta'^2 + \sigma \gamma \beta' \left( \frac{c_p T_{00}}{g} \right) (\beta + \beta') \right] y^3 + [\sigma \beta c_p T_{00}] y \ln \left( 1 - \frac{\beta' \gamma}{2g} y^2 \right) \right\}$$

$$\Rightarrow \text{Stable if } \beta' < \left\{ \frac{g + \sigma c_p T_{00}}{g + c_p T_{00} \left[ \sigma + \frac{\gamma^2}{g} (1 + \sigma H) \right]} \right\} \beta \approx \beta$$

## E4. $|y|^k$ angular momentum profile

- Let  $M = -\alpha|y|^k + \gamma z$  and  $T(0, z) = T_{00}$

$$Q = \frac{1}{DT} \left\{ -\beta\gamma^2 \left( \frac{c_p T_{00}}{g} \right) y + \frac{1}{2} \beta^2 \gamma y^3 + k\alpha \left[ g + \gamma^2 \left( \frac{c_p T_{00}}{g} \right) \right] \frac{|y|^k}{y} - \beta\gamma\alpha \left( 1 + \frac{k}{2} \right) y|y|^k + \gamma k\alpha^2 \frac{|y|^{2k}}{y} \right\}$$

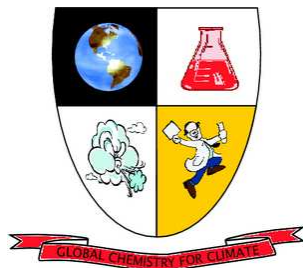
- For  $1 < k < 2$ , state is **stable**.
- For  $k > 2$ , state is **symmetrically unstable** in a very small interval about the equator (but satisfies separate inertial and static stability conditions).
  - At equator  $\nabla M, \nabla\Theta \parallel \hat{\mathbf{e}}_z$
  - As  $y$  increases,  $\nabla\Theta$  tips towards equator faster than  $\nabla M$ .

## 5. ANELASTIC MODEL

- Certain results from hydrostatic case can be achieved in nonhydrostatic case using **anelastic equations**
- Assumes that fastest time scale is that of **gravity waves** (filters sound wave modes) and that  $\theta$  departs relatively little from prescribed reference profile  $\theta_0(z)$  (c.f. Boussinesq system).
- Only **4** prognostic variables -  $(u, v, w)$  and  $\theta$  instead of the **5** in Euler equations ( $\rho$  given by continuity equation)
- Can extend small amplitude result to **finite amplitude** for certain basic states
- Can solve linear equations exactly for Dunkerton problem in the case of  $\theta_0(z) = \text{constant}$

## SUMMARY

- Symmetric instability plays a role in **solstice** season dynamics in equatorial middle atmosphere.
- Hydrostatic primitive equations neglect  **$\cos \phi$  Coriolis terms**, which are significant near equator.
- Stability of a steady solution to Euler equations depends on directions of  **$\nabla M$**  and  **$\nabla \Theta$**  relative to each other,  **$\nabla P$**  and  **$\Omega$** .
- **Examples:**
  - Isothermal atmosphere is **stable**
  - Meridional velocity shear at equator is **unstable**
  - Vertical temperature gradient does not significantly affect symmetric stability conditions
  - Shallower than quadratic  **$M$**  is **unstable** at equator
- Can find finite amplitude result and exact linear solution to Dunkerton problem using **anelastic equations**



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<b>14:16-14:34</b>	<p>Michel Bourqui, ETH-Zurich</p> <p>A new fast stratospheric ozone chemistry scheme in an intermediate GCM</p>