## The Operational Global Icosahedral–Hexagonal Gridpoint Model GME: Description and High-Resolution Tests

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#### ABSTRACT

The German Weather Service (Deutscher Wetterdienst) has recently developed a new operational global numerical weather prediction model, named GME, based on an almost uniform icosahedral-hexagonal grid. The GME gridpoint approach avoids the disadvantages of spectral techniques as well as the pole problem in latitudelongitude grids and provides a data structure extremely well suited to high efficiency on distributed memory parallel computers. The formulation of the discrete operators for this grid is described and evaluations that demonstrate their second-order accuracy are provided. These operators are derived for local basis functions that are orthogonal and conform perfectly to the spherical surface. The local basis functions, unique for each grid point, are the latitude and longitude of a spherical coordinate system whose equator and zero meridian intersect at the grid point. The prognostic equations for horizontal velocities, temperature, and surface pressure are solved using a semi-implicit Eulerian approach and for two moisture fields using a semi-Lagrangian scheme to ensure monotonicity and positivity. In the vertical direction, finite differences are applied in a hybrid (sigma pressure) coordinate system to all prognostic variables. The semi-implicit treatment of gravity waves presented here leads to a 3D Helmholtz equation that is diagonalized into a set of 2D Helmholtz equations that are solved by successive relaxation. Most of the same physical parameterizations used in the authors' previous operational regional model, named EM, are employed in GME. Some results from the verification process for GME are provided and GME performance statistics on a Cray T3E1200 as well as on the ECMWF Fujitsu VPP5000 systems are summarized. For the case of the severe Christmas 1999 storm over France and Germany the pronounced sensitivity of the model with respect to the initial state is discussed. Finally, a test case is shown where it is currently possible, though not yet operationally practical, to run GME at 15-km resolution on the VPP5000.

#### 1. Introduction

Motivated by trends in numerical methods and high performance computing architectures the Deutscher Wetterdienst (DWD) has developed a new operational global weather forecast model that employs a gridpoint approach with an almost uniform icosahedral–hexagonal grid. On 1 December 1999, this new model replaced the operational global model (GM), derived from the spectral model of the European Centre for Medium-Range Weather Forecasts (ECMWF), and the regional model (EM) for central Europe. It has been named GME because it replaced GM and EM.

The gridpoint approach offers several important advantages relative to spectral methods. One is elimination

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of "spectral ringing" in the vicinity of steep gradients. Another is the ability to ensure positivity in quantities such as cloud liquid water and turbulent kinetic energy. The gridpoint approach also avoids the large amount of global communication required by spectral transform techniques as well as the large number of arithmetic operations normally associated with Legendre transforms at high spatial resolution.

A major advantage of the icosahedral-hexagonal grid is the avoidance of the so-called pole problem that exists in conventional latitude-longitude grids. The singularities at the poles lead to a variety of numerical difficulties including a severe limitation on the time step size unless special measures are undertaken. These difficulties simply vanish for grids not having such singularities.

Icosahedral-hexagonal grids were investigated more than 30 years ago for their suitability to meteorological application. Williamson (1968) and Sadourny et al. (1968) solved the nondivergent barotropic vorticity equa-

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tion with finite-difference methods on such grids. Later, Cullen (1974) applied a finite-element approach and a similar grid to solve the shallow water equations. The conclusion from these initial investigations, however, was that the discretization error arising from the slight grid nonuniformities was sufficiently large to render this gridpoint approach inferior to the then-emerging spectral transform method. In the early 1980s Baumgardner (1983) and Baumgardner and Frederickson (1985) devised recursively defined spherical barycentric coordinates that provided spherical basis functions from which a fully second-order-accurate finite-element formulation could be obtained. Second-order convergence was demonstrated in both the two and infinity norms. Since that time this finite-element approach has been successfully applied to modeling planetary mantle convection in spherical shell geometry (Baumgardner 1985).

In the early 1990s Baumgardner, in collaboration with a colleague (J. Dukowicz) at Los Alamos National Laboratory, developed a second-order-accurate semi-Lagrangian formulation for the shallow water equations on the icosahedral–hexagonal grid (Baumgardner 1994). This formulation utilized basis functions obtained by constructing a local spherical coordinate system at each grid point with the grid point located at the coordinate frame equator. Since these coordinate systems are utilized only in the local neighborhood of a grid point, the far-removed polar singularities introduce no difficulties. GME employs this same set of basis functions, which correspond simply to the longitude and latitude in the local gridpoint coordinate frame.

Other investigators who have applied an icosahedralhexagonal grid in an atmospheric modeling context include Masuda and Ohnishi (1986), Heikes and Randall (1995a,b), Stuhne and Peltier (1996, 1999), and Ringler et al. (2000). Masuda and Ohnishi applied a finite-difference approach to solve the shallow water equations in streamfunction/velocity potential form with the finitedifference operators derived from a line integral method. Heikes and Randall used an almost identical approach except that they introduce a 'twist' in the grid to make it symmetrical across the equatorial plane and they employ a multigrid strategy for solving elliptic equations for the streamfunction and velocity potential. They also introduced a scheme for moving the grid points slightly to reduce the errors in their finite-difference operators. Ringler et al. extended this 2D model to a full 3D atmospheric general circulation model. Stuhne and Peltier applied a method very close to that of Baumgardner and Frederickson (1985) for solving the elliptic equations via a finite-element/multigrid strategy with recursively defined barycentric basis functions but chose a finitedifference strategy similar to Baumgardner (1994) for discretizing first derivative operators. The main difference in the latter strategy from Baumgardner is their use of Cartesian coordinates and local basis functions that lie in a plane tangent to the sphere at each grid point instead of local spherical coordinates and spherical basis functions.

None of the more recent formulations that achieve second-order accuracy (e.g., Baumgardner and Frederickson 1985; Heikes and Randall 1995a,b; Baumgardner 1994; Stuhne and Peltier 1996, 1999) displays the significant wavenumber 5 error that plagued the early formulations using the icosahedral–hexagonal grid. Therefore the low order of the spatial discretizations of the earlier formulations probably caused the large errors of the simulations due to a grid–flow interaction.

A first description of the design and implementation of the GME, including shallow water tests and an evaluation of the dynamical core of the model, is given in Majewski (1998); here we concentrate on the operational version of the model and tests at high resolutions.

The outline of the paper is as follows: section 2 describes the basic numerical methods including the grid generation, formulation of the discrete operators, and an evaluation of their accuracy. In section 3 the threedimensional version of GME, including the physical parameterization package, is described. Section 4 provides an overview of the data assimilation scheme, section 5 describes the operational implementation at the DWD, and section 6 presents some results of diagnostics and verification of the model. Finally, section 7 summarizes the first results of 24-h forecasts of the GME at mesh sizes between 160 and 15 km.

#### 2. Numerical methods

#### a. Grid generation

The icosahedral-hexagonal grid, first introduced in meteorological modeling by Sadourny et al. (1968) and Williamson (1968), has been gaining increasing interest in recent years, for example, Masuda and Ohnishi (1986), Heikes and Randall (1995a,b), Giraldo (1997), and Thuburn (1997). The approach described here closely follows the work of Baumgardner (1983), who has applied this grid to the problem of planetary mantle convection.

To generate the grid, a regular icosahedron (Fig. 1) is constructed inside the sphere such that 2 of its 12 vertices coincide with the North and South Poles. Five of the other 10 vertices are spaced at equal longitudinal intervals of  $72^{\circ}$  (=360°/5) along a latitude circle at 26.565°N, the other 5 along a latitude circle at 26.565°S.

Connecting nearest neighbors among these 12 points with great circle arcs divides the spherical surface into 20 equal spherical triangles (Fig. 2a). Beginning from this grid of icosahedral triangles, a new finer grid of triangles is generated by connecting midpoints of the spherical triangle sides by an additional set of great circle arcs (Fig. 2b). This process may be repeated until a grid of the desired resolution is obtained (Figs. 2c and 2d). This construction procedure yields a grid consisting of 10  $n_i^2 + 2$  grid points (nodes) and 20  $n_i^2$  elementary

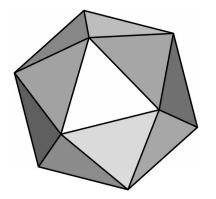


FIG. 1. Regular icosahedron, which consists of 20 equilateral triangles.

spherical triangles, where  $n_i$  is the number of equal intervals into which each side of the original icosahedral triangles is divided. Each of these  $10 n_i^2 + 2$  grid points is surrounded by 6 nearest neighbors except for the original 12 icosahedral vertices, which are surrounded by only 5. We therefore refer to these 12 special points as *pentagonal* points. If we place all variables at the vertices of the triangles (Arakawa A grid), the dual mesh

consists exclusively of hexagons except for the 12 pentagons at the pentagonal points.

The number  $n_i$  is a natural parameter for specifying the resolution of the grid. It can be shown that there is a close numerical equivalence between  $n_i$  and the maximum harmonic degree in a spherical harmonic representation (Yang 1997). The (minimum) spacing between grid points is then the length of a side of the original icosahedral triangles (about 7054 km for the earth) divided by  $n_i$ . For example, with  $n_i = 128$  we obtain a spacing between grid points of about 55 km.

The icosahedral-hexagonal grid provides a nearly uniform coverage of the sphere even though the hexagonal cells vary somewhat in their exact shape and size (Table 1a), especially those close to the pentagons. The pentagons, however, are perfectly regular. To increase the available choice of grid resolution, an initial trisection of the main triangles edges followed by bisections may be performed. Specifications for these grids are summarized in Table 1b.

By combining the areas of pairs of the original adjacent icosahedral triangles (Fig. 3), the global grid can logically also be viewed as comprising 10 rhombuses or diamonds, each of which has  $n_i \times n_i$  unique grid

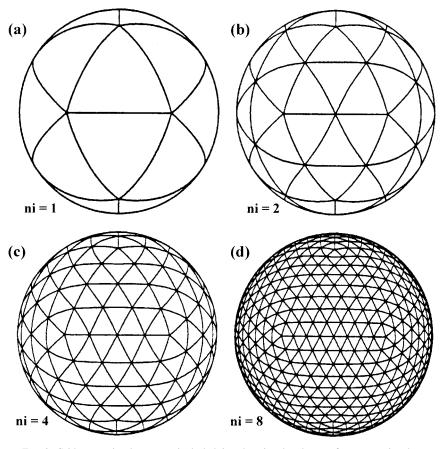


FIG. 2. Grid generation by successively halving the triangle edges to form new triangles. Parameter  $n_i$  is the number of intervals on a major triangle edge.

TABLE 1. Some characteristic quantities of the icosahedral-hexagonal grid at different resolutions specified by  $n_{\nu}$  the number of intervals on a major triangle edge. Here,  $N = 10 n_i^2 + 2$  is the number of grid points,  $A_{\min}$  is the minimum area of the hexagons,  $A_{\max}$  is the maximum area of the hexagons,  $\Delta_{av}$  is the average distance between grid points;  $\Delta_{\min}$  is he minimum distance between grid points, and  $\Delta_{\max}$  is the maximum distance between grid points. (a) Sides of icosahedral triangles are each bisected q times, i.e.,  $n_i = 2^q$ , where q is a positive integer. (b) Sides of icosahedral triangles are initially trisected, and then bisected l times, i.e.,  $n_i = 3 * 2^l = 2^q$ , where q = 1.585 + l.

(a) q	n <sub>i</sub>	Ν	$A_{\min}$ (km <sup>2</sup> )	$A_{\max}$ (kg <sup>2</sup> )	$\Delta_{av}$ (km)	$\Delta_{ m min}$ (km)	$\Delta_{\max}$ (km)
4	16	2562	154 109	238 061	477.6	440.5	526.0
5	32	10 242	38 515	59 955	239.3	220.3	263.2
6	64	40 962	9628	15 017	119.8	110.1	131.6
7	128	163 842	2407	3756	59.9	55.1	65.8
8	256	655 362	602	939	30.0	27.6	32.9
9	512	$2\ 261\ 442$	150	235	15.0	13.8	16.5
(b)			$A_{\min}$	$A_{\max}$	$\Delta_{\rm av}$	$\Delta_{\min}$	$\Delta_{\rm max}$
q	$n_i$	Ν	(km <sup>2</sup> )	$(km^2)$	(km)	(km)	(km)
-							
4.6	24	5762	68 477	97 683	319.0	293.7	346.9
4.6 5.6	24 48	5762 23 042	68 477 17 117	97 683 24 494	319.0 159.7	293.7 146.8	346.9 173.5
5.6	48	23 042	17 117	24 494	159.7	146.8	173.5
5.6 6.6	48 96	23 042 92 162	17 117 4279	24 494 6128	159.7 79.9	146.8 73.4	173.5 86.8

points. The diamonds are indexed as shown in Fig. 3. Five diamonds share the North Pole and five the South Pole. The indexing on a diamond is based on the convention that those  $n_i \times n_i$  grid points that are unique to each diamond are numbered from 1 to  $n_i$  in the rows and columns of the data arrays. The grid points on the diamonds edges, (0, 1) to  $(0, n_i + 1)$  and  $(0, n_i + 1)$  to  $(n_i, n_i + 1)$ , are shared between adjacent diamonds and their data values must be exchanged at each time step. The polar points (0, 1) are each shared by five diamonds. Diamonds 1–5 share the North Pole and diamonds 6–10 share the South Pole.

From the computational point of view the icosahedral-hexagonal grid offers the major advantage that no indirect addressing is required. The data structure is regular and has the dimensions (0:  $n_i$ ,  $n_i + 1$ , 10), that is, consists of 10 logically square arrays of points. Discrete differential operators have the form of seven-point stencils, involving the home point and the six nearest neighbor points. The indices of the neighbor points are given by fixed offsets from the index of the home point. These operations can be coded to obtain high efficiencies on both vector- and cache-based computer architectures. Furthermore, the square arrays of points are readily partitioned in a domain decomposition strategy for distributed memory parallel architectures.

#### b. Horizontal finite-difference operators

The derivation of finite-difference operators is not based on Gauss's theorem as in Masuda and Ohnishi (1986) or Heikes and Randall (1995a) but follows a



FIG. 3. Logical data layout of the icosahedral-hexagonal grid of GME consisting of 10 rhombuses (diamonds), 5 containing the North Pole and 5 the South Pole.

strategy similar to that of Stuhne and Peltier (1996, 1999). Our approach utilizes local basis functions that are orthogonal and conform perfectly to the spherical surface. These basis functions are the longitude and latitude of a locally defined spherical coordinate system whose equator and zero meridian intersect at the grid point. We generate such a local spherical coordinate system at each grid point with coordinates  $(\eta, \chi)$  and align the local east direction to coincide with the global east direction and the local north with the global north direction. The local spherical coordinate system is specified by three orthogonal unit vectors  $[\mathbf{x}_0, (\mathbf{e}_{\lambda})_0, (\mathbf{e}_{\omega})_0]$ , where  $\mathbf{x}_0$  is the gridpoint location on the unit sphere,  $(\mathbf{e}_{\lambda})_0$  is orthogonal to  $\mathbf{x}_0$  and aligned with the global east, and  $(\mathbf{e}_{\omega})_0$  is orthogonal to  $\mathbf{x}_0$  and aligned with the global north direction (Fig. 4).

The advantages of this local coordinate system are the following:

- within the local neighborhood of the grid point the coordinate system is nearly Cartesian; that is, the coordinate singularities are far removed from this gridpoint neighborhood; and
- only two (tangential) velocity components are needed to describe the horizontal velocities.

However, there is one disadvantage, namely, transformations are required between the local coordinate systems of neighboring grid points when operators are applied to vector fields.

The meteorological equations are formulated and solved in the local spherical system  $(\eta, \chi)$ , where the horizontal distances (dx, dy) on the earth with radius *a* are given by  $dx = a \cos \chi d\eta$  and  $dy = ad\chi$ .

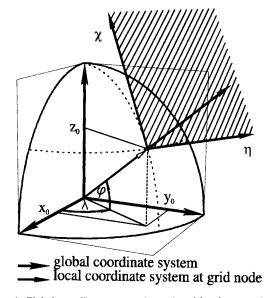


FIG. 4. Global coordinate system (x, y, z) and local system  $(\eta, \chi)$  at a grid point.

Discrete horizontal operators must be derived for this system. The analytical form of the operators is the usual form, as given, for example, by Dutton (1976), taking into account that  $\eta = \chi = 0$  at the center node.

# DERIVATION OF THE DISCRETE GRADIENT AND LAPLACE OPERATORS

To obtain a second-order-accurate representation of the partial differential equations we desire to solve, we approximate an arbitrary global function  $\psi^*$  in the neighborhood of each grid point by a quadratic polynomial  $\psi$  in the local coordinates  $(\eta, \chi)$  as

$$\psi(\eta, \chi) = \psi_0 + \alpha_1 \eta + \alpha_2 \chi + \alpha_3 \eta^2 + \alpha_4 \eta \chi + \alpha_5 \chi^2.$$
(2.1)

Equation (2.1) may be interpreted as a Taylor series of the form

$$\psi(\eta, \chi) = \psi_0 + \left(\frac{\partial\psi}{\partial\eta}\right)\eta + \left(\frac{\partial\psi}{\partial\chi}\right)\chi + \frac{1}{2}\left(\frac{\partial^2\psi}{\partial\eta^2}\right)\eta^2 + \left(\frac{\partial^2\psi}{\partial\eta\partial\chi}\right)\eta\chi + \frac{1}{2}\left(\frac{\partial^2\psi}{\partial\chi^2}\right)\chi^2.$$
(2.2)

The finite-difference form of the gradient and Laplace operators for a scalar field  $\psi$  may be written in terms of a stencil operation involving the values of  $\psi$  at the center node and the nearest five or six neighbors. (For simplicity in what follows, we shall let the neighbor index *m* range from 1 to 6 also in the case of pentagonal nodes where it will be understood that the stencil coefficients for the nonexistent node are identically zero.)

The gradient operator at each grid point may be expressed as

$$\frac{\partial \psi}{\partial \eta} = \sum_{m=1}^{6} G_{\eta,m}(\psi_m - \psi_0), \quad \text{or}$$
 (2.3)

$$\frac{\partial \psi}{\partial \chi} = \sum_{m=1}^{6} G_{\chi,m}(\psi_m - \psi_0).$$
(2.4)

The coefficients  $G_{\eta,m}$ ,  $G_{\chi,m}$  (m = 1, ..., 6) are associated with the neighboring nodes and depend only on the geometric locations of the nodes expressed in terms of the local coordinates ( $\eta$ ,  $\chi$ ).

Similar to the approach for the gradient operator, the Laplacian operator is expressed in terms of the neighboring nodes as

$$\left(\frac{\partial^2}{\partial\eta^2} + \frac{\partial^2}{\partial\chi^2}\right)\psi = \sum_{m=1}^6 L_m(\psi_m - \psi_0). \quad (2.5)$$

To obtain the stencil coefficients  $G_{\eta,m}$ ,  $G_{\chi,m}$ , and  $L_m$  we apply the quadratic polynomial approximation (2.1). For the case of the six nearest neighbors, we have six constraints for the five coefficients  $\alpha_1, \alpha_2, \ldots, \alpha_5$  that specify the function  $\psi$  in the local neighborhood, namely,

$$\psi_{m}(\eta_{m}, \chi_{m}) = \psi_{0} + \alpha_{1}\eta_{m} + \alpha_{2}\chi_{m} + \alpha_{3}\eta_{m}^{2} + \alpha_{4}\eta_{m}\chi_{m} + \alpha_{5}\chi_{m}^{2}, \qquad (2.6)$$

for m = 1, ..., 6. A least squares procedure is used to solve for the five unknown coefficients from a system of the form

$$\alpha_j = \beta_{j,m}(\psi_m - \psi_0), \qquad (2.7)$$

where j = 1, ..., 5, and the summation is over m = 1, ..., 6.

Using Eqs. (2.1)–(2.6) the coefficients  $G_{\eta,m}$ ,  $G_{\chi,m}$  are then given by

$$G_{\eta,m} = \beta_{1,m}$$
 and  $G_{\chi,m} = \beta_{2,m}$ , (2.8)

and the coefficients  $L_m$  by

$$L_m = 2(\beta_{3,m} + \beta_{5,m}), \tag{2.9}$$

where m = 1, ..., 6.

Due to the symmetry of the icosahedral-hexagonal grid, the coefficients  $G_{\eta,m}$ ,  $G_{\chi,m}$ , and  $L_m$  may be precomputed and stored only for diamond 1. The same gradient coefficients are used to compute the velocity divergence. Care has to be taken, however, to rotate the wind components  $(u_m, v_m)$  of the surrounding nodes into the local spherical coordinate system of the central node before the divergence operator is applied.

#### c. Interpolation in the icosahedral-hexagonal grid

GME uses semi-Lagrangian advection for water vapor and cloud water. Semi-Lagrangian methods require the interpolation of fields from neighboring grid points to the departure and midpoints of the parcel trajectory. Our approach involves two types of interpolation, name-

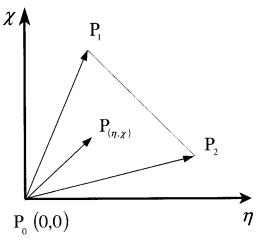


FIG. 5. A triangle  $P_0$ ,  $P_1$ ,  $P_2$  in the local spherical  $(\eta, \chi)$  coordinate system.

ly *bilinear* and *biquadratic*. Bilinear interpolation is used in the calculation of the trajectory itself to derive the wind components (u, v) at the trajectory midpoint. Biquadratic interpolation is then applied to find the prognostic fields at the departure point of the trajectory. Both methods are performed on grid triangles.

#### 1) BILINEAR INTERPOLATION

Bilinear interpolation of an arbitrary function  $\psi(\eta, \chi)$  at a point  $P(\eta, \chi)$  uses the values  $(\psi_0, \psi_1, \psi_2)$  at the three grid points  $(P_0, P_1, P_2)$  having position vectors  $(\mathbf{p}_0, \mathbf{p}_1, \mathbf{p}_2)$  that are the vertices of the spherical triangle containing the point as indicated in Fig. 5. To derive the value  $\psi(\eta, \chi)$  at  $P(\eta, \chi)$  we introduce barycentric coordinates. Each point within the triangle is uniquely defined by the vector

$$\mathbf{p} = \gamma_0 \mathbf{p}_0 + \gamma_1 \mathbf{p}_1 + \gamma_2 \mathbf{p}_2$$
  
with  $\gamma_0 + \gamma_1 + \gamma_2 = 1$ , (2.10)

where  $(\gamma_0, \gamma_1, \gamma_2)$  are called the barycentric coordinates of the point *P*. To calculate these coordinates the following linear system has to be solved (note that at the central node  $P_0$ ,  $\eta = \chi = 0$ ):

$$\eta = \gamma_1 \eta_1 + \gamma_2 \eta_2 \quad \text{and} \quad \chi = \gamma_1 \chi_1 + \gamma_2 \chi_2 \quad \text{and}$$
$$\gamma_0 = 1 - \gamma_1 - \gamma_2 \tag{2.11}$$

The bilinear interpolation of  $\psi(\eta, \chi)$  within the triangle is then obtained by weighting the values of  $\psi$  at the triangle vertices by the corresponding barycentric coordinates:

$$\psi(\eta, \chi) = \gamma_0 \psi(\eta_0, \chi_0) + \gamma_1 \psi(\eta_1, \chi_1) + \gamma_2 \psi(\eta_2, \chi_2).$$
(2.12)

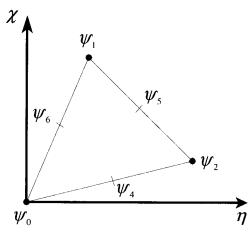


FIG. 6. The six values used for the biquadratic interpolation of a function  $\psi(\eta, \chi)$  in a triangle.

#### 2) **BIQUADRATIC INTERPOLATION**

The standard biquadratic interpolation formula (Zienkiewicz 1979) for a triangle in terms of values at the triangle vertices and midpoints of the edges (Fig. 6) is applied to obtain the value of  $\psi$  at an arbitrary point  $P(\eta, \chi)$  in the triangle:

$$\psi(\gamma_{0}, \gamma_{1}, \gamma_{2}) = \gamma_{0}(2\gamma_{0} - 1)\psi_{0} + \gamma_{1}(2\gamma_{1} - 1)\psi_{1}$$
$$+ \gamma_{2}(2\gamma_{2} - 1)\psi_{2}$$
$$+ 4(\gamma_{0}\gamma_{1}\psi_{4} + \gamma_{1}\gamma_{2}\psi_{5} + \gamma_{2}\gamma_{0}\psi_{6}), \quad (2.13)$$

where  $(\gamma_0, \gamma_1, \gamma_2)$  are again the barycentric coordinates of the point *P*. The values of the function  $\psi$  at the midpoints of the triangle edges,  $\psi_4, \psi_5, \psi_6$ , are obtained by approximating  $\psi$  along these edges with a cubic Hermite polynomial using the gradients at the end points, that is, at the triangle vertices  $(P_0, P_1, P_2)$ . When the stencil of the gradient operator is taken into account, the biquadratic interpolation is based on a stencil that involves 12 grid points (Fig. 7).

*Monotonicity* may be enforced by simply demanding that the interpolated value not be higher or lower than the values at the three corner points  $(P_0, P_1, P_2)$ . In the same way, *positive definiteness* may be enforced by the

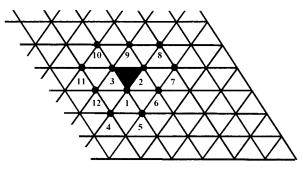


FIG. 7. The 12 grid points involved in the biquadratic interpolation in the triangle  $P_0$ ,  $P_1$ ,  $P_2$ .

condition that the interpolated value be greater than or equal to zero.

If the Courant numbers are restricted to values less than unity, it is fairly easy to determine which of the surrounding triangles contains the departure or midpoint of the trajectory. Without this restriction the search algorithm is more complicated and uses a binary search procedure to accelerate convergence.

#### d. Accuracy of the gradient and Laplace operators

Heikes and Randall (1995b) introduced the following function to test the accuracy of their finite-difference operators on the icosahedral–hexagonal grid:

$$\beta_{m,n}(\lambda, \varphi) = a^2 \cos^4(m\varphi) \cos(n\lambda),$$
 (2.14)

where  $\lambda$  is the longitude,  $\varphi$  is the latitude, *a* is the radius of the earth, and *m* and *n* are integers set to 1 or 3. For different resolutions  $n_i$  of the grid the analytical solution  $x^{true}$  is compared to the finite-difference one  $x^{fd}$ , and some error norms are evaluated. The *one norm* is defined by

$$\|x^{\text{fd}} - x^{\text{true}}\|_{1} = \frac{1}{A} \sum_{i=1}^{N} A_{i} |x_{i}^{\text{fd}} - x_{i}^{\text{true}}|,$$
 (2.15)

where the summation is over all N grid points of the icosahedral-hexagonal grid,  $A_i$  is the area of a particular hexagon (pentagon), and A is the area of the globe:

$$A = \sum_{i=1}^{N} A_i.$$
 (2.16)

The *two norm* is defined by

$$||x^{\text{fd}} - x^{\text{true}}||_2 = \left[\frac{1}{A}\sum_{i=1}^N A_i (x_i^{\text{fd}} - x_i^{\text{true}})^2\right]^{1/2},$$
 (2.17)

and the *infinity norm* is defined by

$$\|x^{\rm fd} - x^{\rm true}\|_{\infty} = \max(|x_i^{\rm fd} - x_i^{\rm true}|, i = 1, N). \quad (2.18)$$

For the gradient operator, the norms of course include both components in the summations and in the evaluation of the maximum over the grid.

A finite-difference operator is said to be consistent if the infinity norm converges to zero for decreasing mesh sizes. Figure 8 summarizes the results for the GME gradient and Laplace operators. Both operators satisfy the consistency requirement. Their overall accuracy as characterized by their one and two norms is second order because the norms drop close to a factor of 4 when the resolution  $n_i$  is doubled. The GME operators constructed from the unaltered icosahedralhexagonal grid thus display an accuracy similar to that of the operators derived by Heikes and Randall (1995b, Fig. 4) on their twisted icosahedral-hexagonal grid where a special optimization of the gridpoint distribution has been performed. Note that in Fig. 8, we include results not only for grids constructed with an initial bisection of the sides of the icosahedral triangles but an initial trisection as well. We also show results

for grids with  $n_i$  values up to 768, corresponding to a horizontal resolution of about 10 km.

It should be noted that the slope of the infinity norm changed from -2 to -1 for the Laplace operator. This occurs where errors due to local grid nonuniformity begin to dominate those due to the inherent inability of the finite grid to represent the function exactly. These maximum absolute errors captured by the infinity norm due to grid nonuniformity occur along arcs corresponding to the sides of the original 20 spherical triangles. The magnitude of these errors decreases by a factor of 2 as  $n_i$  is doubled while the area associated with such points also decreases by a factor of 2. The fact that the one and two norms involve an area weighting factor explains why these norms maintain a slope close to -2 where the infinity norm switches to a slope of -1.

#### 3. Three-dimensional version of GME

#### a. Differential form of model equations

The prognostic equations for the three-dimensional version of the model are expressed in differential form in terms of a local spherical coordinates ( $\eta$ ,  $\chi$ ) and a hybrid (sigma pressure) vertical coordinate  $\xi$  as follows:

$$\frac{\partial u}{\partial t} - (\zeta + f)v + \dot{\xi}\frac{\partial u}{\partial\xi}$$
$$= -\frac{1}{a}\frac{\partial}{\partial\eta}(\Phi + K) - \frac{RT_v}{a}\frac{\partial}{\partial\eta}(\ln p) + \left(\frac{\partial u}{\partial t}\right)_{\rm sub}$$
$$- K_4\nabla^4 u \tag{3.1}$$

$$\frac{\partial v}{\partial t} + (\zeta + f)u + \dot{\xi}\frac{\partial v}{\partial \xi}$$

$$= -\frac{1}{a}\frac{\partial}{\partial\chi}(\Phi + K) - \frac{RT_{v}}{a}\frac{\partial}{\partial\chi}(\ln p) + \left(\frac{\partial v}{\partial t}\right)_{sub}$$

$$- K_{4}\nabla^{4}v \qquad (3.2)$$

$$\frac{\partial T}{\partial t} + \frac{u}{a}\frac{\partial T}{\partial \eta} + \frac{v}{a}\frac{\partial T}{\partial \chi} + \dot{\xi}\frac{\partial T}{\partial \xi}$$

$$= \frac{\alpha\omega}{c_p} + \frac{L_v}{c_p}C_{vc} + \left(\frac{\partial T}{\partial t}\right)_{\rm sub} - K_4\nabla^4(T - T_{\rm ref}) \quad (3.3)$$

$$\frac{\partial p_s}{\partial t} = -\frac{1}{a} \int_0^1 \left[ \frac{\partial}{\partial \eta} \left( u \frac{\partial p}{\partial \xi} \right) + \frac{\partial}{\partial \chi} \left( v \frac{\partial p}{\partial \xi} \right) \right] d\xi$$
(3.4)

$$\frac{\partial q_v}{\partial t} + \frac{u}{a} \frac{\partial q_v}{\partial \eta} + \frac{v}{a} \frac{\partial q_v}{\partial \chi} + \dot{\xi} \frac{\partial q_v}{\partial \xi}$$
$$= -C_{vc} + \left(\frac{\partial q_v}{\partial t}\right)_{sub} - K_4 \nabla^4 q_v \qquad (3.5)$$

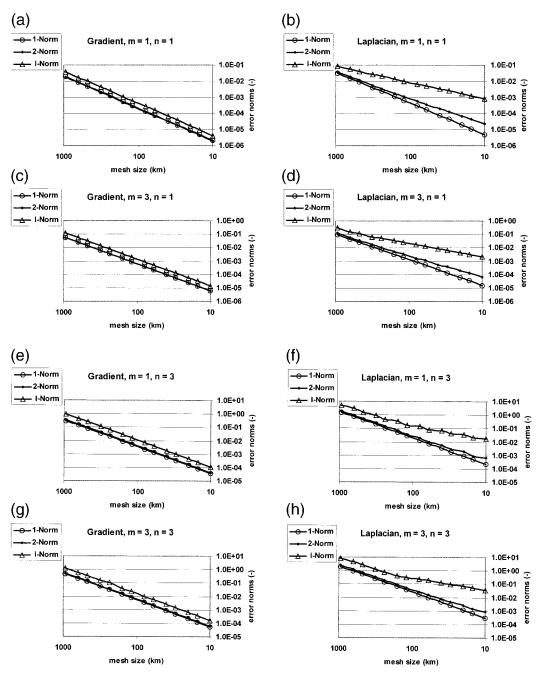


FIG. 8. Error of the (left) finite-difference gradient and (right) Laplace operators as a function of mesh size for the test function proposed by Heikes and Randall (1995b) for m = 1, n = 1; m = 3, n = 1; m = 1, n = 3; and m = 3, n = 3.

$$\frac{\partial q_c}{\partial t} + \frac{u}{a}\frac{\partial q_c}{\partial \eta} + \frac{v}{a}\frac{\partial q_c}{\partial \chi} + \dot{\xi}\frac{\partial q_c}{\partial \xi} = C_{vc} + \left(\frac{\partial q_c}{\partial t}\right)_{sub}, \quad (3.6)$$

where (u, v) are the zonal (meridional) wind components; *T* is the temperature;  $p_s$  is the surface pressure;  $q_v$  is the specific water vapor content and  $q_c$  is the specific cloud liquid water content; *t* is the time and *a* is the mean radius of the earth (a = 6 371 229 m);  $\zeta$  is

the vorticity and f is the Coriolis parameter;  $\xi$  is the vertical velocity in the hybrid system and  $\omega$  is the vertical velocity in the pressure system;  $\alpha$  is the density of air;  $\Phi$  is the geopotential and K is the specific kinetic energy; p is the pressure and  $T_v$  is the virtual temperature;  $T_{\text{ref}}$  is a reference temperature depending only on height;  $L_v$  is the latent heat of condensation;  $C_{vc}$  is the condensation rate and ()<sub>sub</sub> is the subgrid-scale tendency

due to parameterized processes like radiation, convection, or turbulence; and  $K_4$  is the constant coefficient of linear fourth-order diffusion.

# b. Numerical solution of the three-dimensional equation set

The shallow water test bed for GME included a semi-Lagrangian and an Eulerian version of the code. The semi-Lagrangian version was restricted to Courant numbers less than 1. For larger Courant numbers the communication pattern become more complicated ("communication on demand"), and the extensive code changes required were therefore not implemented in the short period of time available for the project. In the framework of the shallow water model both schemes produced very similar results but the Eulerian code was about 20% faster. Therefore, the dry part of the three-dimensional version of GME, that is the prognostic equations for  $u, v, T, p_s$ , is solved by the semi-implicit Eulerian method. Only the two prognostic moisture equations  $(q_v, q_c)$  use semi-Lagrangian advection (Staniforth and Côté 1991) in the horizontal direction to ensure monotonicity and positive definiteness. An Arakawa A-grid staggering (Mesinger 2000) is employed because of the semi-Lagrangian advection and ease of implementation. In the vertical, the energy and angular momentum conserving finite-difference scheme of Simmons and Burridge (1981) is applied to all prognostic equations.

The semi-implicit treatment of gravity waves (Robert 1981) leads to a three-dimensional Helmholtz equation for the second temporal derivative of the divergence of the horizontal wind field. The eigenvectors of the vertical structure matrix are used to diagonalize this 3D equation into a set of 2D Helmholtz equations corresponding to the number of layers in the model. A split semi-implicit scheme (Burridge 1975) is employed to solve the Helmholtz equations for only the external mode plus the first four internal ones. Currently, these five 2D equations are solved by successive overrelaxation. About 20 iterations are needed to solve for the external mode, and only 3-11 for the internal ones. A slight off-centering of the implicit terms is necessary to damp the gravity waves and to stabilize the solution. Part of the subgrid-scale tendencies is also treated implicitly for stability reasons.

#### c. Physical parameterizations

Unresolved atmospheric processes interact with the large-scale flow but contain also essential forecast information (e.g., cloudiness or precipitation), which cannot be generated by the adiabatic part of the model. The simulation of such processes in GME is handled by a set of dedicated parameterization modules. The simulation of diabatic processes in the icosahedral-hexagonal grid of GME generally employs the same methods and procedures applied in other NWP grid schemes. However, the uniformity of the GME grid avoids unnecessary physics calculations in overresolved high-latitude zones that commonly occur in grids with polar singularities (e.g., regular latitude–longitude grids). In contrast to such grids, where the area represented by each grid node varies strongly with latitude, the distinction between resolved and unresolved atmospheric scales does not depend on the geographical position in the GME grid because the area of grid nodes varies only moderately. The following physical phenomena are simulated by parameterization modules:

- radiative transfer of solar and thermal radiation in clear and cloudy atmospheres (Ritter and Geleyn 1992) (a full radiation step is performed every 2 h at all grid points, solar fluxes are computed each time step taking the actual zenith angle but the atmospheric transmission from the previous full radiation step is used);
- grid-scale precipitation scheme including parameterized cloud microphysics (Doms and Schättler 1997);
- deep and shallow convection based on a mass flux approach (Tiedtke 1989);
- vertical turbulent fluxes (Müller 1981), based on Louis (1979) in the Prandtl layer, and a diagnostic level-two scheme based on Mellor and Yamada (1974) for the boundary layer and the free atmosphere;
- subgrid-scale orographic effects (Lott and Miller 1997);
- soil model (Jacobsen and Heise 1982); and
- cloudiness derived from specific cloud liquid water content, relative humidity, convective activity, and stability.

For computational efficiency, some of the parameterization schemes (convection, turbulent fluxes, subgridscale orographic effects) are called only every fifth time step of the model.

With the exception of the subgrid-scale orographic effects scheme that was adapted from the operational ECMWF forecast model, the parameterization modules are nearly identical to those in the previous NWP system of the DWD, where they underwent extensive testing and evaluation both in global and limited area model applications. In the framework of GME, some of the parameterization schemes required some adjustments of the free parameters utilizing available validation and verification data.

#### d. External parameters

We refer to time-invariant gridpoint properties, such as mean orographic height, land-sea fraction, roughness length, and soil type, as external parameters. They are computed for each grid node area from high-resolution supplementary data. Table 2 summarizes the datasets used in the generation of external parameters for GME.

For each icosahedral-hexagonal grid node high-resolution raw data values associated with the correspond-

Dataset (Ref.)	Source	Coverage	Resolu- tion	- Projection	Derived parameters
GLOBE (GLOBE Task Team et al. 1998)	NOAA/NGDC	Global	30″	Regular	Height and subgrid scale orographic parameters
GLCC (Loveland et al. 2000)	USGS	Global	~1 km	Goode homolosine	Land fraction, roughness length, root depth, and plant cover and leaf area index
CORINE (http://etc.satellus.se)	ETC/LC	Most European countries	250 m	Lambert azimuthal	Land fraction, roughness length, root depth, and plant cover and leaf area index
DSMW (FAO 1992)	FAO	Global	5'	Regular	Soil type

TABLE 2. Description of datasets used in the generation of external parameters for GME.

CORINE: Coordination of Information on the Environment. DSMW: Digital Soil Map of the World. ETC/LC: European Topic Centre on Land Cover. FAO: Food and Agricultural Organisation of UNO. GLCC: Global Land and Cover Characterization. GLOBE: Global Land One-kilometer Base Elevation. NOAA/NGDC: National Oceanic and Atmospheric Administration/National Geophysical Data Center. USGS: United States Geological Survey.

ing geographical location are combined to form an area average. At the current operational resolution of 59.9 km, the average grid node area is 3100 km<sup>2</sup>. For some parameters processing of the data also includes conversion from the basic information available, for example, soil texture, to the model parameter required, for example, soil type. In geographical regions where more than one raw dataset is available for the same external parameter, a priority rule is applied using quality assessment of the raw datasets. For most grid elements in Europe land-use-dependent parameters are based on the Coordination of Information on the Environment (CORINE) database information, for example, rather than on the lower-resolution Global Land Cover Characterization (GLCC) dataset.

#### 4. Data assimilation scheme

#### a. Intermittent 4D data assimilation suite

The data assimilation scheme of the GME is based on a traditional intermittent 6-hourly analysis–forecast cycle. Analyses are performed at 0000, 0600, 1200, and 1800 UTC based on all observations valid in a 1.5-h window around the analysis times. A 6-h forecast of the GME provides the first guess for the analysis scheme.

Table 3a outlines the salient features of the upper air analysis. A multivariate optimum interpolation scheme provides the analysis of the mass (surface pressure and geopotential) and wind (zonal and meridional wind components) fields simultaneously. The correlation functions employed until now are the ones of the former global spectral model (T106, L19) of the DWD. They will be replaced by functions properly describing the error statistics of GME by the end of the year 2001.

The only surface fields analyzed so far are the sea surface temperature and the snow depth (Table 3b). No analysis of soil temperatures or water content is performed, but the 6-h first guess fields from GME are applied.

#### b. Incremental digital filtering initialization

Initialization schemes are designed to remove noise from the forecast while introducing acceptably small changes to the analysis and forecasts. Furthermore, if the initialization can achieve a better balance between humidity and dynamic fields, the spinup problem is alleviated. For GME we apply the digital filtering initialization (DFI) of Lynch (1997) that involves a 3-h adiabatic backward integration and a 3-h diabatic forward one centered around the initial time. The incremental approach employed avoids unwanted smoothing of the first guess fields due to the DFI in regions without observations by applying the filter only to the analysis increments.

#### 5. Operational implementation

#### a. Daily schedule of analyses and forecasts

Since 1 December 1999 GME has been the operational global NWP model of the DWD and currently provides the meteorological database for many followup products and systems. GME and its data assimilation scheme are implemented on the Cray T3E1200 of the DWD. The gridded binary (GRIB) code analysis and forecast data are stored in huge ORACLE data bases on an SGI Origin cluster.

The operational schedule is structured by *data assimilation* steps every 6 h, that is, at 0000, 0600, 1200, and 1800 UTC, with a data cutoff between 7 and 12 h. An *early run* with a data cutoff of 2 h, 14 min, and forecasts up to 78 h allows early numerical guidance, and provides lateral boundary conditions for the nonhydrostatic regional model (LM; 7-km mesh size, 35 layers) of the

TABLE 3a. Data assimilation and analysis of atmospheric fields for the GME.

Method:	6-hourly intermittent data assimilation. Analyses at 0000, 0600, 1200, and 1800 UTC.
Main stans:	Analysis initialization forecast

Main steps: Analysis, initialization, forecast.

	Mass and wind	Humidity			
Method	3D multivariate OI of deviations of obser- vations from first guess	3D univariate OI in the troposphere below 250 hPa; constant specific humidity in the stratosphere			
Analyzed variables	Geopotential height, wind components, sur- face pressure	Relative humidity			
Constraints	Geostrophy, quasi nondivergence				
First guess	6-hour mo	odel forecast			
Forecast error correlation	Horizontal model: Bessel functions with length scale of 400 km	Gaussian distribution with radius of 350 km			
	Vertical model: empirical positive definite functions				
Observations	Surface data from land stations, ships and buo reports; temperature and humidity retrievals from geostationary satellites	ys, aircraft reports, radiosonde ascents, aircraft from polar-orbiting satellites; air motion winds			
	Variables:	Temperature and dewpoint, humidity, and			
	surface pressure, wind, and geopotential height and thickness	precipitable water			
QC	Comparison with first guess, comparison with OI analysis				
Realization	Observations used simultaneously in a large volume (box method) in a $\pm$ 1.5 h window.				

DWD as well as the regional models of 11 other national meteorological services (see section 5c). The early runs are based on 0000, 1200, and 1800 UTC analyses and use  $13 \times 13$  processors. To complete the 78-h forecast takes about 50 min of wallclock time. The *main run* with a data cutoff of 3 h, 30 min, and forecasts up to 174 h is based on 0000 and 1200 UTC analyses. With  $15 \times 15$  processors the whole 174-h forecast takes 1 h, 35 min.

#### b. Available products

GME data are mostly stored on the icosahedral-hexagonal grid (Arakawa A, 163 842 grid points, 31 hybrid layers). More than 80% (11 GB) of the data of a 174h forecast are given in this original spatial representation. Software is available to extract the GME forecast at single grid points anywhere on the globe to derive meteographs. To ease the data visualisation and as an interface to applications like wave modeling, selected forecast fields are interpolated horizontally from the icosahedral-hexagonal grid to a regular latitude–longitude one ( $0.75^{\circ} \times 0.75^{\circ}$ ). In addition, some multilevel fields are interpolated vertically from the 31 model layers to selected pressure levels.

## c. GME data as lateral boundary conditions at other NMS

Forecast data from the early run of GME are sent via the Internet to other national meteorological services (NMSs). These data serve as initial and lateral boundary conditions for regional NWP models, which are based on either the high-resolution regional model of the DWD or the nonhydrostatic LM. Only those GME grid points within the domain of interest of the NMS in question are transmitted to reduce the amount of data. In this way real distributed computing is realized, with GME at the DWD and the regional models at the NMS running in parallel. Currently, the following 11 NMSs

	Sea surface temperature	Snow depth Every 6 h		
Frequency	Daily at 0000 UTC			
Method	Correction method; previous analysis used as first guess	Weighted average of observations		
Weights given to observations	Dependent on distance and on age of ob- servations	Dependent on horizontal and vertical dis- placement		
Observations	SST data from ships and buoys of the last 7 days	Snow depth and snowfall data from surface station observations		
QC	Comparison with first guess and with near- by observations	Plausibility checks; comparison with previ- ous analysis		
Adaption in data-sparse areas	Blending with SST analysis from NCEP data	NOAA snow depth analysis based on sat- ellite imagery		
Ice mask	Gridded sea ice analysis based on SSMI satellite data from NOAA OMB			

NCEP: National Centers for Environmental Predictions. SSMI: Special Sensor Microwave Imager. OMB: Oceanic Modeling Branch.

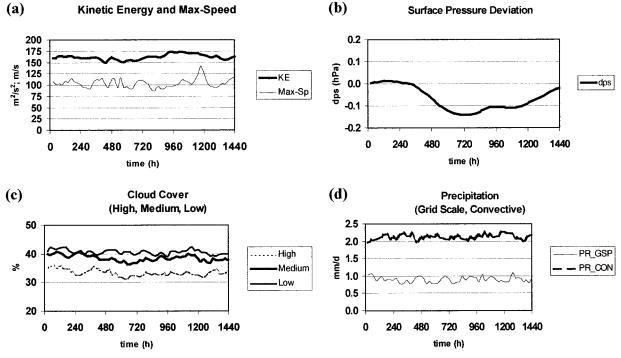


FIG. 9. Diagnostic evaluation of a 60-day run of GME initialized on 15 Dec 1999 at 0000 UTC.

are receiving the GME data twice daily based on 0000 and 1200 UTC data out to 48 (78) h at 3-hourly (for some, even at hourly) intervals:

- Directorate of Hydrography and Navigation (Brazil),
- Instituto Nacional de Meteorologia (Brazil),
- Guangzhou Regional Meteorological Centre (China),
- National Meteorological and Hydrological Service (Greece),
- Israel Meteorological Service (Israel),
- Regional Service SMR-ARPA (Italy),
- National Meteorological Service, DGCAM (Oman),
- National Meteorological Service, IMGW (Poland),
- National Meteorological and Hydrological Service (Romania),
- MeteoSwiss (Switzerland), and
- National Meteorological and Hydrological Service (Vietnam).

Most of the regional models running at the NMSs have horizontal resolutions between 30 and 7 km; they are able to add valuable details to the GME forecast because the local topographical forcing generally modifies the larger-scale flow being provided by GME.

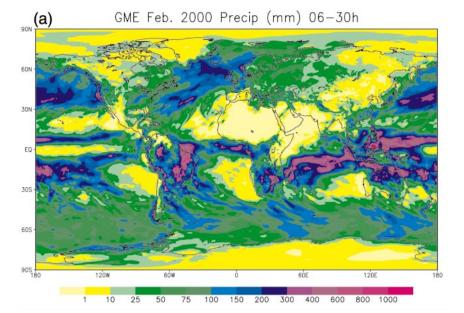
#### 6. Some results of diagnostics and verification

## a. Systematic trends in 60-day forecasts

To detect systematic trends in GME forecasts a 60day (=1440 h) run was performed at the operational resolution of 60 km and 31 layers. The forecast was initialized on 15 December 1999 at 0000 UTC and used constant sea surface temperature. Global diagnostics were produced each day to monitor the model evolution. The results are summarized in Fig. 9. No obvious trends are visible. The volume average of the kinetic energy (Fig. 9a) varies slowly within the range of 150-175 m<sup>2</sup> s<sup>-2</sup>, while the maximum wind speed (usually found at the top level, i.e., at 10 hPa) fluctuates on a much shorter timescale between 80 and 140 m s<sup>-1</sup>. Although the mass (Fig. 9b) is not formally conserved, the mean deviation from the initial state never exceeds 0.14 hPa. The hydrological quantities (Figs. 9c and 9d) seem to be balanced rather well throughout the 60-day period. Only a slight tendency is visible to shift the precipitation from the convective to the grid-scale regime.

#### b. Verification of precipitation forecasts

The Global Precipitation Climatology Centre (GPCC; Rudolf et al. 1996) provides an objective analysis of monthly precipitation. This analysis is based on measurements at about 6000 surface stations over land and estimated amounts derived from brightness temperature observations from geostationary satellites over the oceans. The spatial resolution of the combined product is  $2.5^{\circ} \times 2.5^{\circ}$  (Fig. 10b). For February 2000, the daily precipitation forecasts of GME for the 24-h period 6– 30 h were accumulated to derive a monthly value (Fig. 10a). There is close correspondence between observation and simulation of the main features such as the precipitation extremes at the ITCZ and the storm tracks of both hemispheres. Even the heavy flooding that struck



GPCP Combined Product Version 1c 2.5 degree precipitation for February 2000 in mm/month

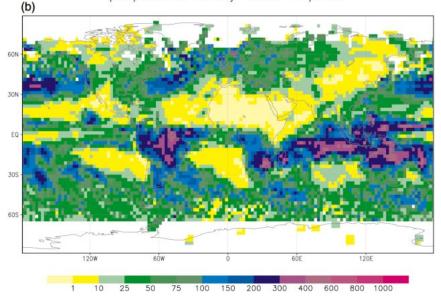


FIG. 10. (a) GME precipitation forecasts (forecast period 6-30 h) in mm month<sup>-1</sup> for Feb 2000. (b) Monthly precipitation in mm month<sup>-1</sup> for Feb 2000 based on a combination of gridded results from surface-based observations and satellite data.

Mozambique in February 2000 was forecast by GME remarkably well. Regarding the distribution over the continents, the model is able to simulate the topographical modification of the precipitation field (e.g., in South America) in more detail than can be obtained from the GPCC analysis because of the coarse resolution of the observing network and analysis grid.

#### c. Kinetic energy spectra

Based on the horizontal wind components at icosahedral-hexagonal grid points of GME we compute the kinetic energy spectrum by replacing integrals by summations over grid nodes. At the operational resolution  $n_i = 128$  with 163 842 grid points, a triangular truncation of up to T340 is possible. Figure 11 shows the resulting spectrum of the eddy kinetic energy (after summation over the zonal index *m* for m > 0) at 250 hPa on 25 May 2000 at 0000 UTC. The full spectrum is shown on the left, the higher end between wavenumbers 150 and 340 is shown to the right. No "wavenumber 5 problem" can be detected in the GME spectrum. For comparison the spectrum of the ECMWF model (T<sub>1</sub> 319,

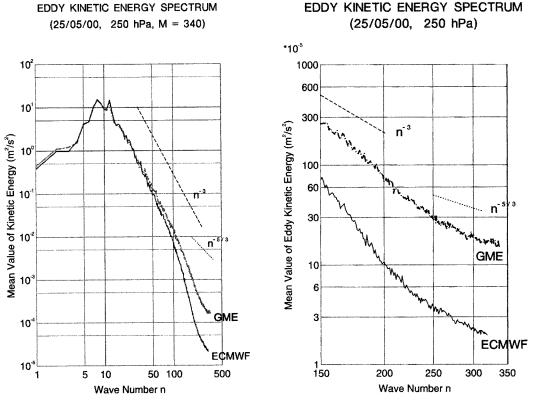


FIG. 11. Eddy kinetic energy spectrum of the GME and the ECMWF model at 250 hPa.

60 layers) is also displayed. Both models show close agreement up to wavenumber 100, especially the  $n^{-3}$  drop of energy in the wavenumber range between n = 10 to n = 100. At higher wavenumbers, the GME spectrum falls off at a rate close to  $n^{-5/3}$ , while the ECMWF one drops off at a much higher rate indicating a stronger (and more effective) horizontal diffusion of the model. Integrated over the full spectrum, both models have almost the same level of eddy kinetic energy (133.8 m<sup>2</sup> s<sup>-2</sup> for the GME, and 135.8 m<sup>2</sup> s<sup>-2</sup> for the ECMWF model).

## d. Christmas 1999 storm in France and Germany or "the flap of a butterfly's wing"

On 25 December 1999, a strong winter storm with peak gusts of more than 200 km  $h^{-1}$  resulted in widespread damages in France, Belgium, Switzerland, and Germany, and caused the deaths of more than 80 people. Afterward, the media blamed the meteorological services of the countries hit by the storm for not having warned the public early enough.

This storm developed from a cyclone that appeared on 26 December 1999 at 0000 UTC west of Brittany with a central pressure of about 980 hPa. While moving rapidly east-northeastward the storm deepened by about 20 hPa in only 6 h. Mean winds of about 50 kt and peak gusts of more than 90 kt were reported. The translation speed of the storm center exceeded 50 kt (~90 km h<sup>-1</sup>). At 1200 UTC the system had reached Frankfurt (Main) (see Fig. 12a). At the southwestern flank of the cyclone very stormy winds occurred that caused severe damage in southern Germany. In the mountainous region of the Black Forest, large areas of forest were completely destroyed.

The operational GME severely underestimated the strong development of this system even in the 24-h forecast based on the 25 December 1200 UTC analysis (Fig. 12b). Peak gusts of less than 30 kt were predicted for southern Germany, and no closed low pressure system was generated over Germany by the model. What was the reason for this serious failure?

A first hint can be identified by comparing the 48-h forecasts of GME based on the early and main runs, which started at 1200 UTC on 24 December. The early run (Fig. 12c) has a data cutoff around 2 h, 14 min, past the analysis time, whereas for the main run (Fig. 12d) the cutoff is 3 h, 30 min. The two forecasts differ dramatically! The early run shows 48 h in advance a clear signal of a strong cyclone with peak gusts around 30 m s<sup>-1</sup> (~60 kt) in southern Germany, while the main run predicts a remarkably different weather situation, namely, a well-developed storm over southern England.

The only difference between the early and the main runs of GME is the initial state, that is, numerical analysis valid at 1200 UTC on 24 December 1999. There

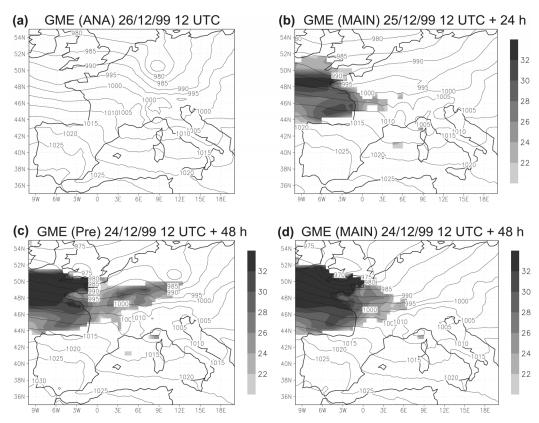


FIG. 12. For the Christmas 1999 storm: (a) analysis valid on 26 Dec at 1200 UTC, (b) main forecast run (24-h forecast starting 25 Dec), (c) early forecast run (48-h forecast starting 24 Dec), and (d) main forecast run (48-h forecast starting 24 Dec). Mean sea level pressure (hPa, isolines) and maximum wind speed at 10 m (m s<sup>-1</sup>, shading).

are small differences between both analyses in the region of the initial disturbance, a shallow low pressure system east of North America at 38°N, 55°W, which later developed into the Christmas storm. The additional observations used for the main run, for example, a restarted radiosonde at Sable Island and some geostationary satellite observations of winds derived from cloud

GME (1.5h) 25/12/99 12 UTC + 24 h

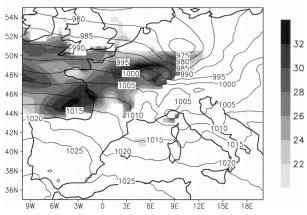


FIG. 13. Same as in Fig. 12b but based on a data assimilation with a reduced observation window.

drift, resulted in a reduction of the speed of the uppertropospheric jet by some 6 m s<sup>-1</sup>. This relatively small difference in the initial state (or "flap of a butterfly's wing") caused a serious failure in the forecast 48 h later (and more than 4000 km to the east) over Germany. Thus there is reason to believe that the weather situation was strongly chaotic during this period. A *small* change of the initial conditions lead to *drastic* changes of the forecast only 48 h later.

This obvious dependence of the forecast quality on the initial state prompted detailed investigations by the DWD data assimilation section regarding the optimal use of all available observations, for example, from ships, planes, and satellites. Figure 13 shows as an example the 24-h forecast of GME, started at 1200 UTC on 25 December 1999, based on an experimental data assimilation where the observation window has been reduced from  $\pm 3$  to  $\pm 1.5$  h around the analysis times 0000, 0600, 1200, and 1800 UTC. This reduction avoids the "smearing out" of the information in the rapidly changing flow. With a mesh size of 60 km, GME is of course not able to simulate the rapid deepening (and filling) of the storm over France in all the mesoscale details, but comparison (Fig. 14) between the observed and simulated temporal evolution of the surface pressure at Paris (Orly Airport) reveals reasonable correspon-

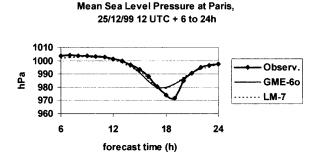


FIG. 14. Temporal evolution of the surface pressure at Paris Orly Airport between 25 Dec 1999 1800 UTC and 26 Dec 1999 1200 UTC. Observed and predicted by the GME and the Lokal-Modell (LM, 7-km mesh).

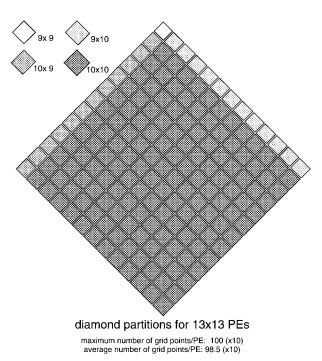
dence. Even the nonhydrostatic high-resolution regional numerical weather prediction model LM with its 7-km grid mesh is unable to capture the rapid deepening and filling.

The reduction of the observation window improved the forecast quality of GME not only in this case, but also for the second French storm (28 Dec 1999) and for the Danish storm (3 Dec 1999) as well. Since May 2000, this shortened observation window has been adopted for all operational forecasts.

#### 7. High-resolution tests

## a. Parallelization on MPP systems

Since the design of the GME included a domain decomposition from the beginning, it took only 3 months to parallelize the program using Message Passing Interface (MPI) software for message passing. The code is written in standard FORTRAN90 and is fully portable. For the two dimensional domain decomposition (Fig. 15) the  $(n_i + 1)^2$  grid points of each diamond are divided among  $n_1 \times n_2$  processing elements (PEs). Thus each PE computes the forecast in a subdomain of all 10 diamonds. This approach improves the chance of achieving a better load balancing for the physical parameterizations, for example, between day and night, land and sea, or rain and no rain. For example, on 13  $\times$  13 PEs of a Cray T3E1200 the physical parameterizations for a 24-h real data forecast consume between 220 and 298 s of wallclock time; the average time is 267 s. In the current version of GME, each computational subdomain has a halo of just two rows and columns of grid points that have to be exchanged via MPI with those PEs that compute the forecast in the neighboring subdomains. There are only seven synchronization points during one complete forecast step. It should be noted that good load balancing requires that all processors have nearly the same workload; thus, the difference between the mean and the maximum number of grid points in the computational subdomains should be small.



GME ni=128

FIG. 15. Two-dimensional domain decomposition of a diamond of GME for  $13 \times 13$  PEs.

#### b. Performance of the GME on the Cray T3E

The GME has been ported successfully to several parallel platforms based on vector or reduced instruction set computing (RISC) processors. However, the effort devoted to optimizing the GME code for different computer systems has been rather limited so far; thus, there is substantial potential for further improvement of the performance of the model.

Figure 16 shows the speedup of the GME (60 km,

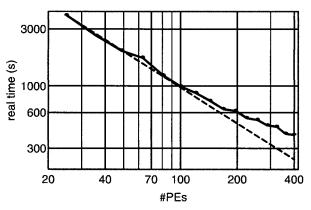


FIG. 16. Speedup of GME (60 km, 31 layers) on a Cray T3E1200 for a 24-h real data forecast without postprocessing.

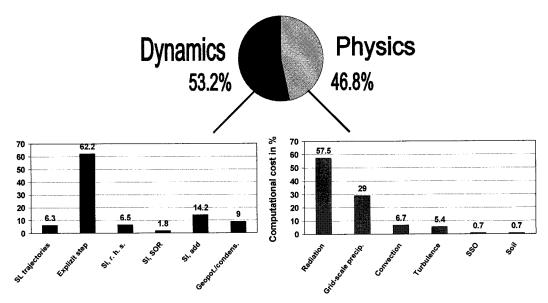


FIG. 17. Cost of the different parts of GME (60 km, 31 layers) on a Cray T3E1200 for a 24-h real data forecast without postprocessing;  $13 \times 13$  PEs have been used.

31 layers) on a Cray T3E1200 for a 24-h real data forecast without postprocessing. Between  $5 \times 5$  and  $13 \times 13$  PEs, an almost linear speedup is obtained. About 60 PEs are necessary to perform a 24-h forecast in less than 30 min.

The distribution of the cost for the different parts of GME expressed as a percentage of the total number of floating point operations on a Cray T3E1200 is highlighted in Fig. 17.

# c. Global forecasts at resolutions ranging from 160 km down to 15 km

Current global models of major NWP centers employ horizontal mesh sizes of about 60 km and require about  $5 \times 10^{12}$  floating point operations (Flops) for a 24-h forecast. A few years from now, global models with mesh sizes in the range between 10 and 20 km will be feasible. We have recently tested GME on the Fujitsu VPP5000 of the ECMWF for mesh sizes of 160, 120, 80, 60, 40, 30, 20, and 15 km. The initial state for the test runs was derived from a rather coarse resolution analysis (T106L19, i.e., a mesh size of about 120 km, 19 layers) with interpolation to the GME grids. Thus these runs cannot show the full potential of high-resolution global modeling because the data assimilation part is missing. Here, the main goal is to test the behavior of the GME at different resolutions from the computing point of view. The case chosen is the Christmas storm (see section 6d) with the initial date 25 December 1999 at 1200 UTC. A 24-h forecast with postprocessing only at 18 and 24 h was performed for each resolution. The results are summarized in Table 4 and Fig. 18.

A halving of the mesh size  $\Delta$ , that is, a doubling of the resolution, is normally associated with a factor of 8 increase of the computational cost of the forecast. This is due to the fact that the time step of the model usually

TABLE 4. GME performance at different horizontal resolutions based on measurements on the Fujitsu VPP5000 at the ECMWF. The number of layers (i3e) is set to 31 for all resolutions:  $n_i$  is the resolution of the icosahedral grid,  $\Delta$  is the mesh size, N is the number of grid points per layer,  $\Delta t$  is the time step,  $K_4$  is the linear fourth-order diffusion coefficient, and HWM is the high-water mark of memory used by GME.

n <sub>i</sub>	$\Delta$ (km)	Ν	$\Delta t$ (s)	$K_4(10^{14} \text{ m}^4 \text{ s}^{-1})$	HWM (MW)	Cost (10 <sup>12</sup> Flop) of 24-h forecast	Speed (Gflop $s^{-1}$ ) for 24-h in 900 s
48	160	23 042	640	52.50	112	0.43	0.48
64	120	40 962	480	22.00	144	0.85	0.94
96	80	92 162	320	6.50	224	2.43	2.70
128	60	163 842	240	1.25	336	5.23	5.82
192	40	368 642	160	0.80	752	15.97	17.74
256	30	655 362	120	0.35	1408	36.52	40.58
384	20	1 474 562	80	0.10	2752	111.79	124.22
512	15	2 621 442	60	0.04	4864	259.29	288.10
768	10	5 898 242	40	0.01	10 000*	800.00*	900.00*

\* Estimated.

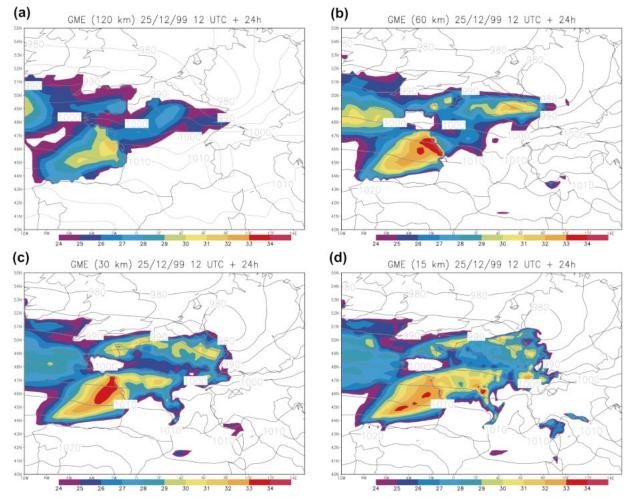


FIG. 18. The 24-h GME forecasts of mean sea level pressure (hPa) and maximum wind speed (m s<sup>-1</sup>, shading) valid at 1200 UTC on 26 Dec 1999. Mesh sizes: (a) 120, (b) 60, (c) 30, and (d) 15 km. Initial state for all forecasts: T106 ( $\sim$ 190 km mesh size) and 19 layers.

has to be halved as well, due to the Courant-Friedrichs-Lewy criterion. Looking at the results presented in Table 4, in practice this factor is much less for GME, for example, for a reduction of the mesh size from 160 to 80 km, the factor is only 5.65. This smaller increase in the computational workload is a consequence of model processes that do not depend on the time step directly, namely, the parameterization of radiation and postprocessing. A full radiation step is performed every 2 h at each GME grid point. At a coarse resolution of 160 km, radiation contributes about 40% of the total computational cost, but at high resolutions like 20 km this cost falls to 11%. Moreover, on vector machines like the Fujitsu VPP5000 the execution time of the model run may not increase as much as expected because of the greater vector length at higher resolutions. For example the speed per processor increases from 0.9 GFlops at 160-km resolution (with an average vector length of 62 elements) to 2.3 GFlops at 20 km (with an average vector length of 348 elements).

Of course, at very high resolutions like 10-15 km the

number of layers should be much higher than 31. Moreover, a full radiation step may be necessary every 15– 30 min for a proper cloud–radiation feedback. Thus the true computational cost of GME at 10-km resolution will be surely more than twice the numbers given in Table 4.

From the meteorological point of view, the surface pressure forecasts at the different mesh sizes (Fig. 18, isolines) differ only in the details for resolutions finer than 120 km. At coarser resolutions, the storm moves too slowly to the east. On the other hand, prediction of peak gusts clearly benefits from higher horizontal resolution (Fig. 18, shading). Simulation of the observed gusts of up to 32 m s<sup>-1</sup> over France, Germany, and Switzerland requires a high-resolution description of the topography.

#### 8. Summary and outlook

The DWD developed and implemented a new global model in just three years. In December 1999 the GME

The code is fully portable (FORTRAN90, MPI for message passing) and has been tested on several RISC and vector processors. Analysis and forecast data of GME are currently used by 11 national meteorological services worldwide as initial and lateral boundary conditions for regional modeling. The GME system has proved very reliable with no model blowups so far.

GME has been tested successfully for a wide range of mesh sizes between 160 and 15 km on the ECMWF Fujitsu VPP5000. With current computer technology a mesh size of 20 km corresponding to a  $T_L1000$  spectral model is now feasible for operational applications.

From numerical and computational points of view, the future development of GME will concentrate on

- an improvement of the numerical discretization of the Laplace operator to achieve fully second-order accuracy;
- a better conservation of mass (for seasonal forecasts or climate mode runs);
- a faster solver of the Helmholtz equations, especially for the external mode;
- a semi-Lagrangian scheme allowing for Courant numbers greater than one;
- better performance of the MPI communication by combining several short messages into larger ones; and finally
- further single-PE optimization of the code.

Based on our experience and expected improvements of the model we believe it is justified to consider the icosahedral-hexagonal gridpoint method a viable approach for global models of the atmospheric flow.

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