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# Current Perspectives on Deep Convection, Upper Troposphere, and Lower Stratosphere from General Circulation Models and Cloud-System-Resolving Models

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GFDL/NOAA, Princeton University

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# Presentation Outline

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- Water vapor trends: Observations and GCMs
- Stratospheric water vapor: Conceptual models and observational constraints
- Advection and stratospheric water vapor in GCMs
- Convection, cloud macrophysics, and cloud microphysics in GCMs
- Cloud-system-resolving models

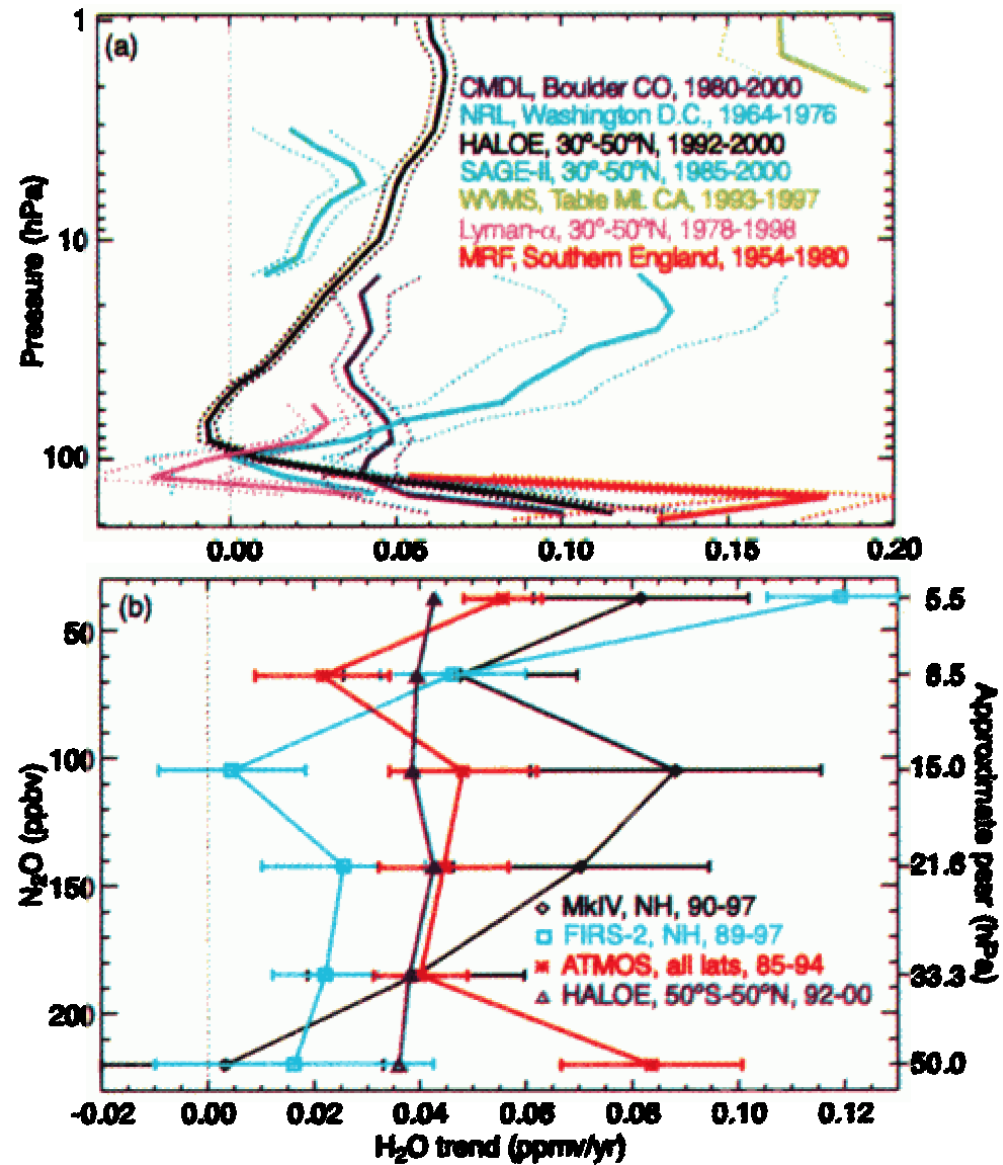


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# Water Vapor Trends: Observations and General Circulation Models

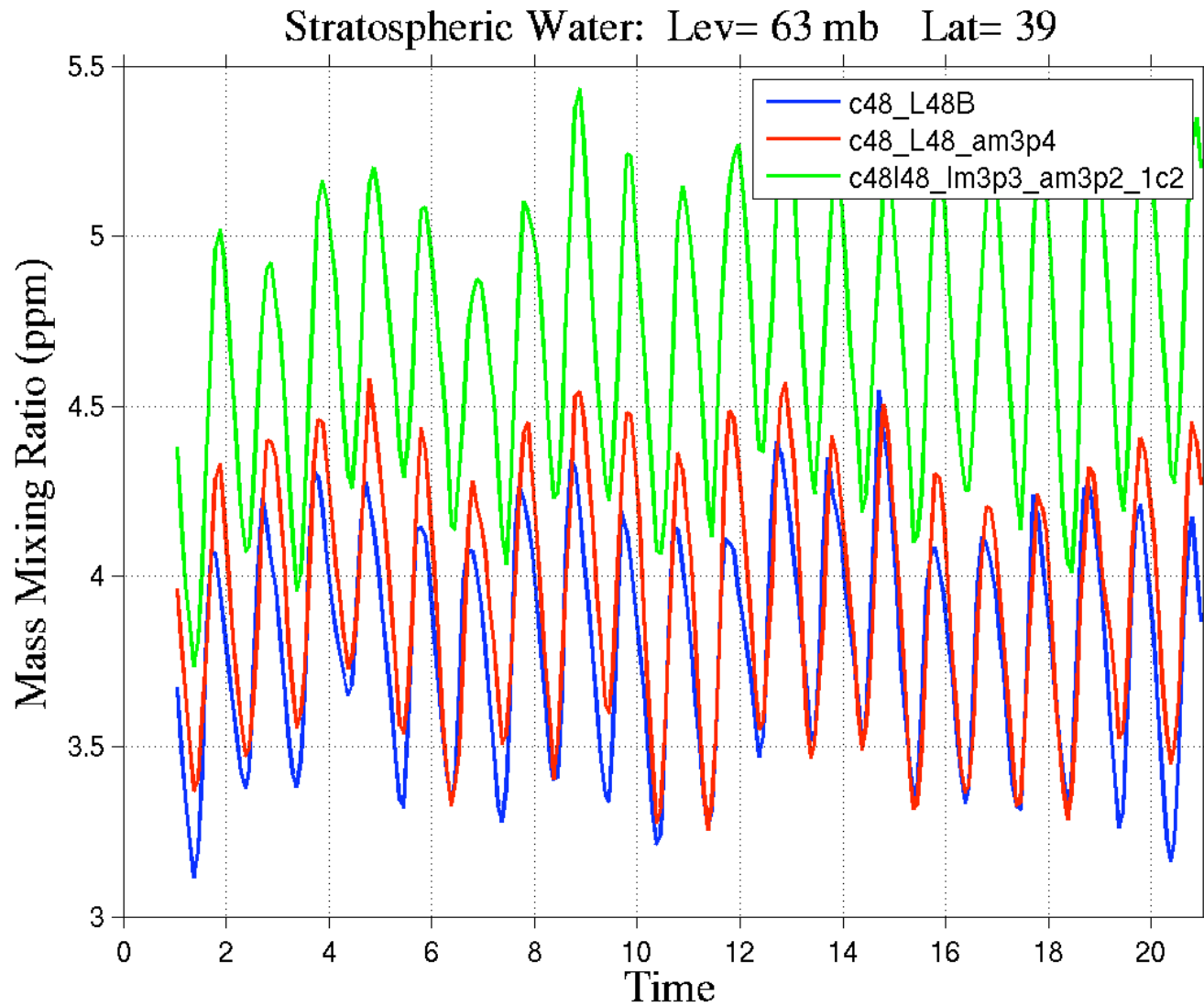


Water Vapor  
Increasing  
about  
1% per  
year,  
1950-2000



from Rosenlof et al. (2001, *GRL*)

# GFDL Experimental AM3 1980-2000 Simulation



**NOTE: Spin-up in early integration**



# ECHAM5-MESSy, 1964-2002 Simulation

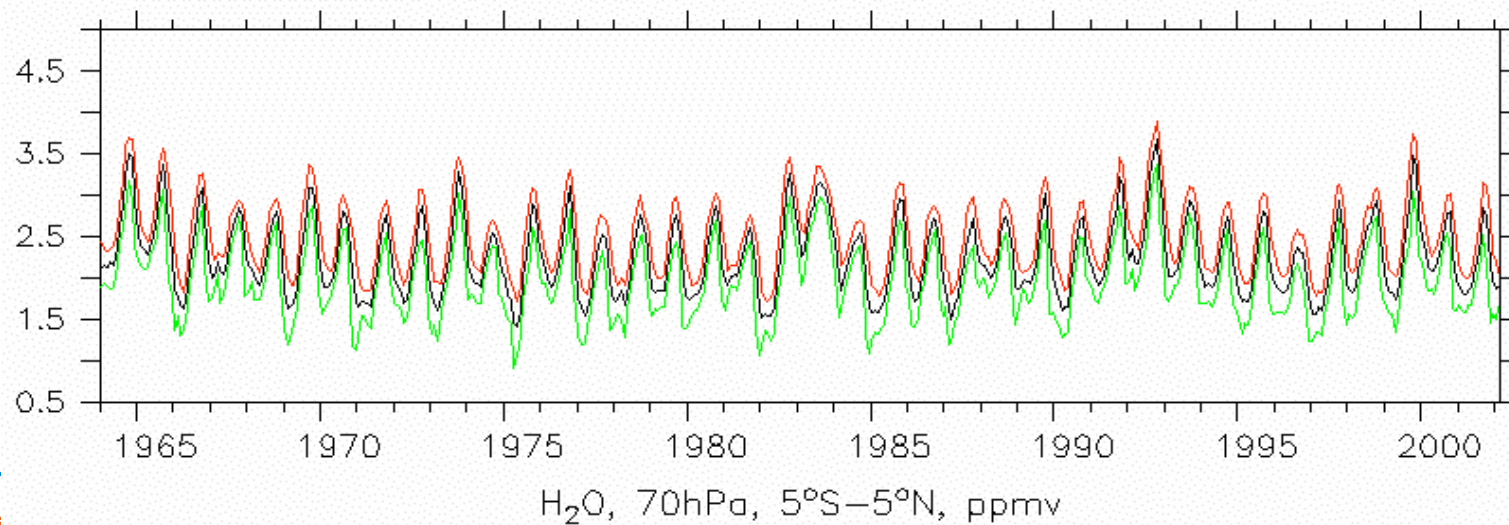
## Poster 13, Christoph Bruehl

H<sub>2</sub>O at 70 hPa, 5N-5S

monthly zonal mean (black)

monthly grid point maximum (red)

monthly grid point minimum (green)





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# Stratospheric Water Vapor: Conceptual Models and Observational Constraints





# Sources and Sinks of Stratospheric Water Vapor

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- Advection by large-scale flow
- Transport by convection to LNB, followed by large-scale vertical and horizontal advection
- Transport into UT/LS by overshooting convection
- Detrainment of ice in UT/LS





# Advection by Large-Scale Flow

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Dessler and Sherwood (2000, *JGR*), modeling tropical UT with only advection and condensation above 100% RH: “We see no evidence to suggest that accurate predictions of the humidity in this region are dependent on accurate simulations of microphysical processes or on transport of ice or liquid water. Our results instead suggest that accurate predictions of the humidity primarily require realistic three-dimensional large-scale (greater than a few hundred kilometers) wind fields.”



# Convection to LNB - Advection (Rosenlof, 2003, *Science*)

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- Stratosphere contains less water than saturation at average minimum tropical temperature
- Newell and Gould-Stewart “stratospheric fountain:” Convection to LNB and advection s.t. air enters stratosphere only at coldest locations and times (over Indonesia, NH winter)
- Contradicted by satellite observations showing air entering stratosphere throughout year and downward motion in lower stratosphere over Indonesia



# Transport into UT/LS by Overshooting Convection

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- Air de-hydrates in convective overshoots
- Convective overshoots colder than surroundings. Dilution, rather than condensation, plays important role in overshoots.
- Sherwood and Dessler (2000, *GRL*); Sherwood and Dessler (2003, *JAS*) found realistic lags and amplitudes in lower stratosphere of CO<sub>2</sub>-like tracer (rel to surface) and H<sub>2</sub>O (rel to tropopause temp)



# Detrainment of Ice into UT/LS

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- Accompanies convective overshooting
- Predicted isotope fractionation (HDO and  $\text{H}_2^{18}\text{O}$  vs.  $\text{H}_2^{16}\text{O}$ ) linked to water vapor source
- Conceptual models by Moyer et al. (1996, *GRL*), Sherwood and Dessler (2001, *JAS*), Dessler and Sherwood (2003, *ACP*)
- Observational support from ATMOS (Moyer et al, 1996, *GRL*) and UARS/MLS (Wu et al., 2005, *JAS*) showing ice to dominate total water above convective centers

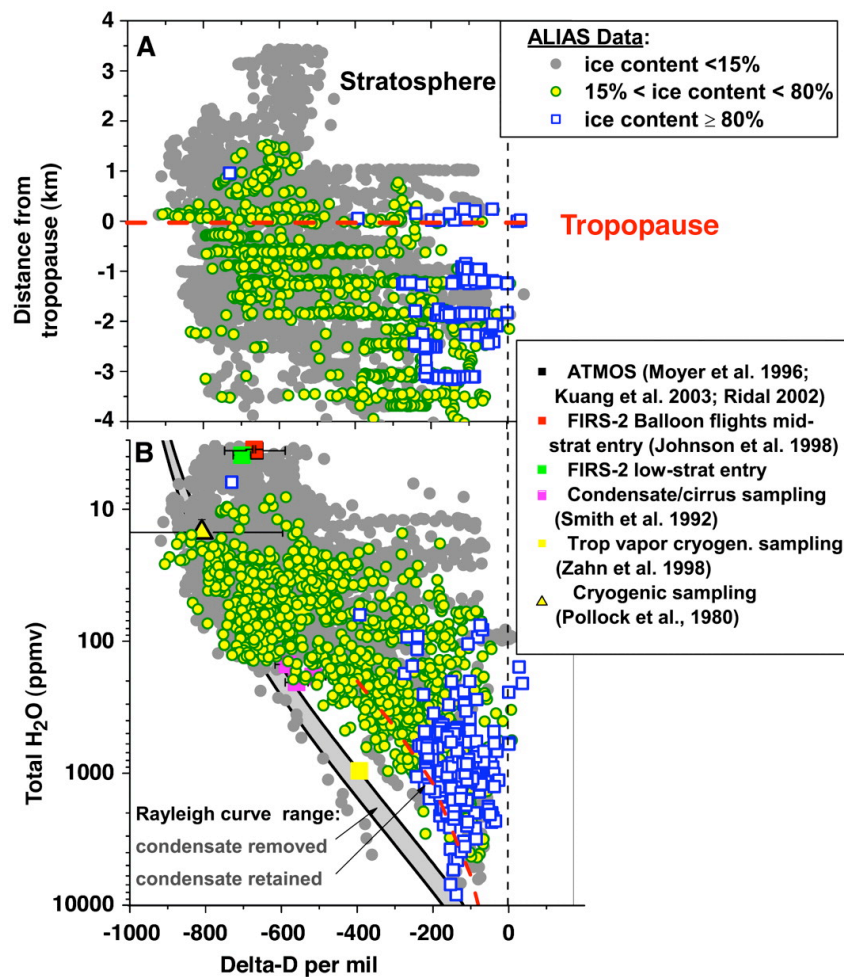


# Aircraft Observations: Convective Tropopause Punctures?

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- Ridley et al. (2004, *Atmos. Env.*): No evidence of convective transport into lower stratosphere in NASA WB-57F mid-latitude flights
- Hegglin et al. (2004, *APC*) and Ray et al. (2004, *JGR*): Aircraft observations suggest convective transport into stratosphere in Projects SPURT and CRYSTAL-FACE

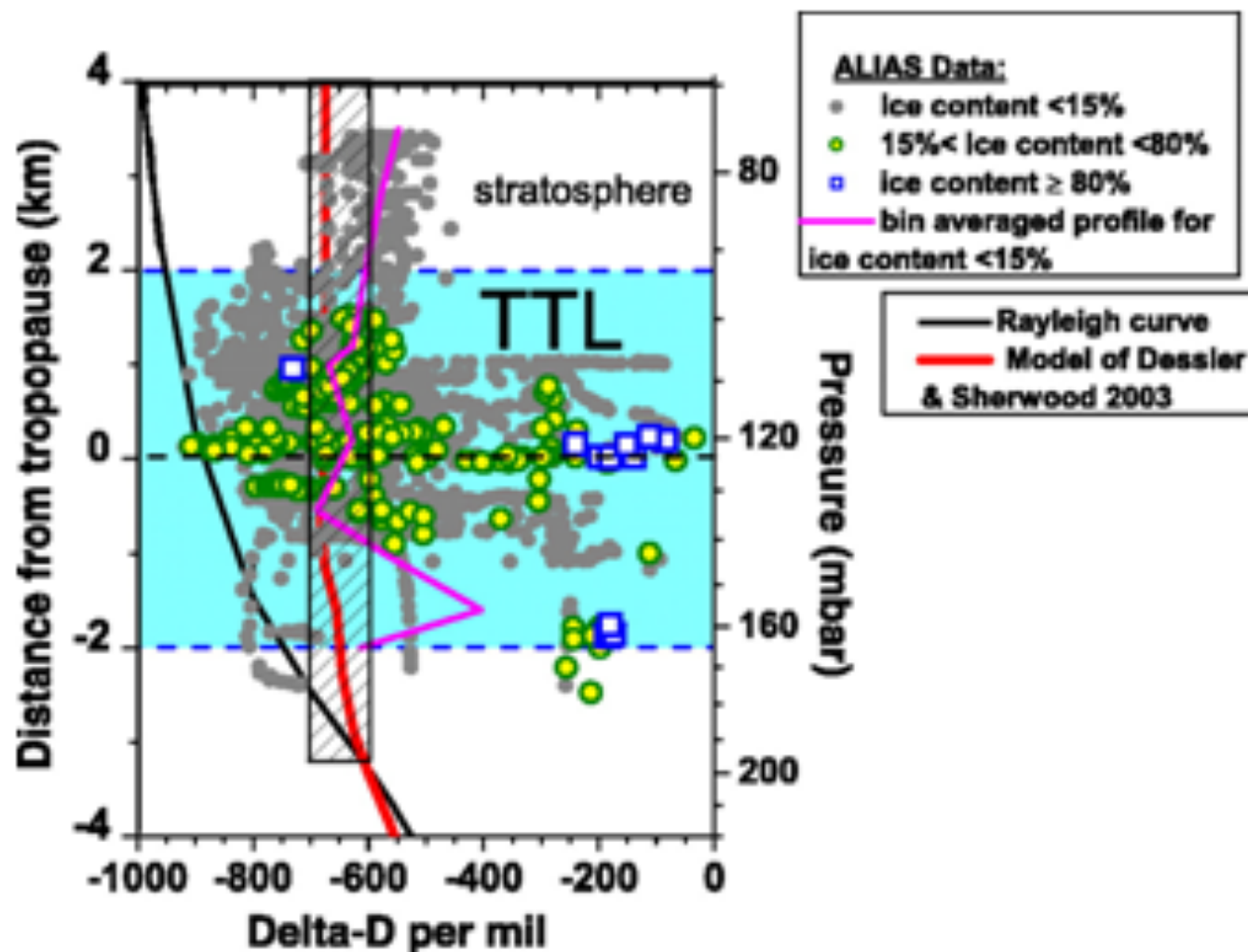
**Fig. 1.  $\delta D$  intercomparison using unfiltered data (20 s averaged) from all nine flights versus distance from the tropopause (A) and versus total water (B)**



C. R. Webster et al., *Science* 302, 1742-1745 (2003)



Fig. 2. Observations in the TTL compared with model calculations (41) that used  $\{\Delta D\}_{ice} = -565$  [per thousand]



C. R. Webster et al., Science 302, 1742-1745 (2003)



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# Advection, Convection, Microphysics, and Macrophysics in General Circulation Models







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Advection depends strongly on vertical resolution and numerical method in general circulation models.

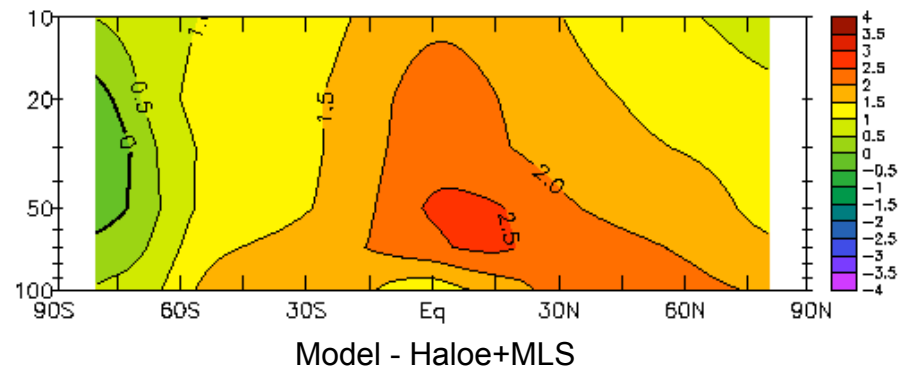
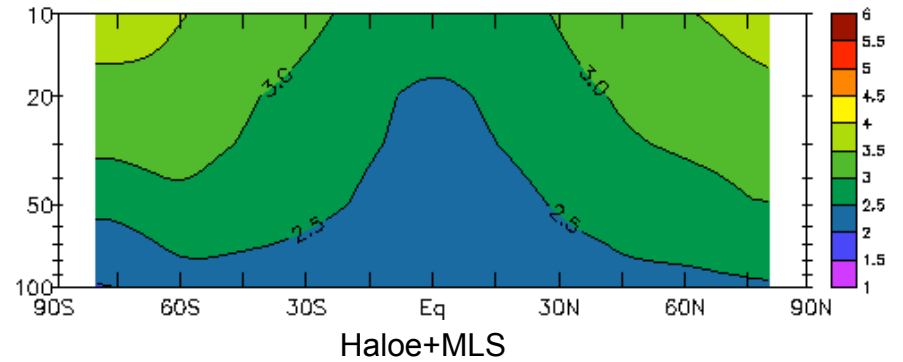
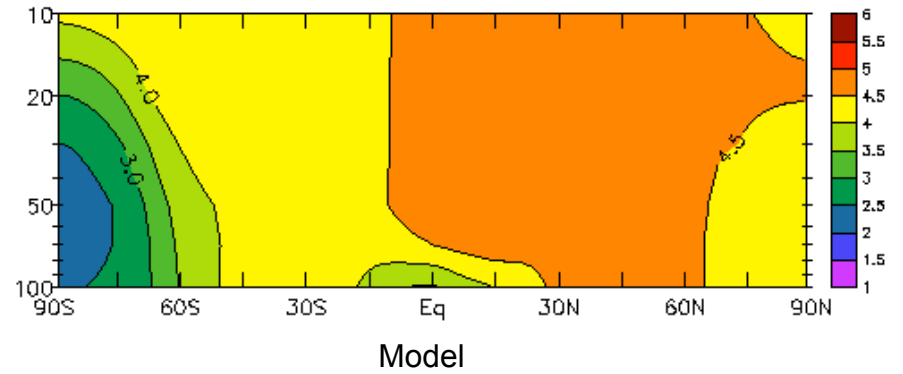
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 1.897296  
 2.690258  
 3.770814  
 5.226664  
 7.166759  
 9.724891  
 13.06353  
 17.3778  
 22.89962  
 29.90173

29.90173  
 38.7017  
 49.66566  
 63.21172  
 79.81281  
 99.99907  
 124.3594  
 153.5421  
 188.2549  
 229.2632  
 277.3648  
 333.1552  
 396.3629  
 464.9418  
 535.0591  
 602.6212  
 664.8809  
 720.6968  
 769.8748  
 812.6292  
 849.3972  
 880.7399  
 907.255  
 929.554  
 948.2209  
 963.7827  
 976.7074  
 987.3945  
 996.1099  
 (hPa)

48 vertical levels  
**Experimental GFDL  
 AM3**

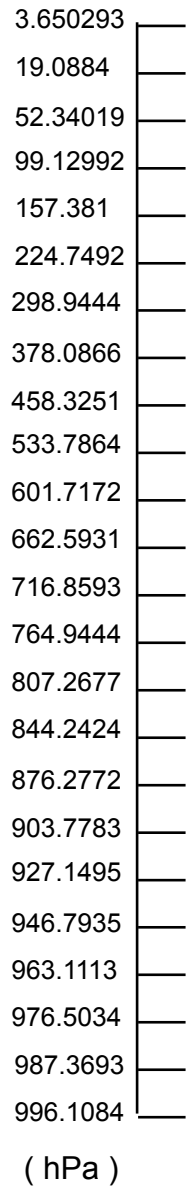
Link between Cumulus Entrainment  
 and PBL TKE

Zonal mean specific humidity (mg/kg), ann

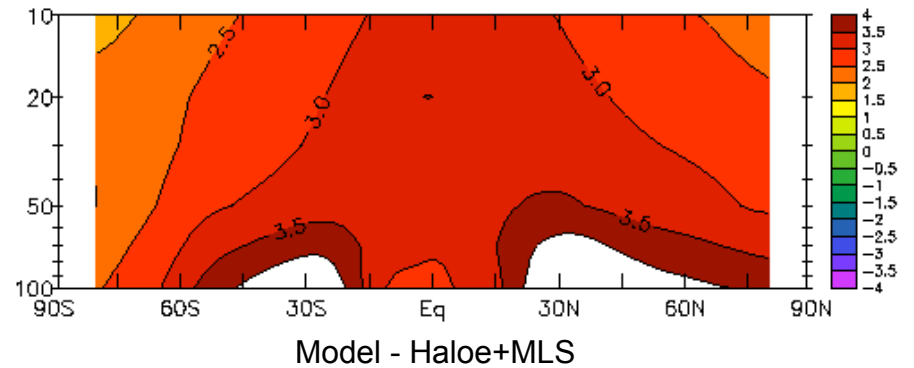
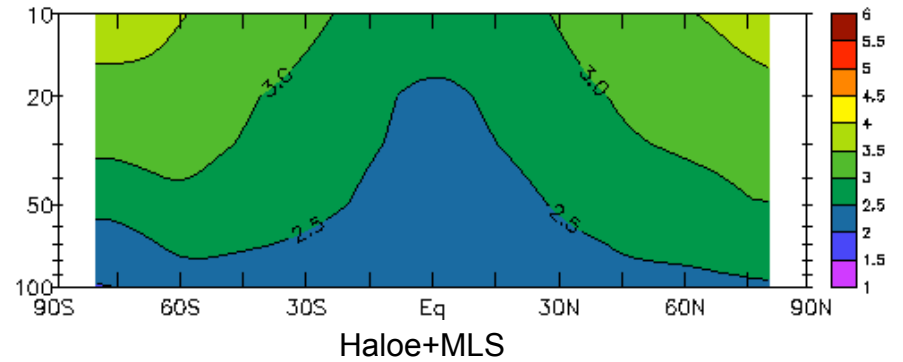
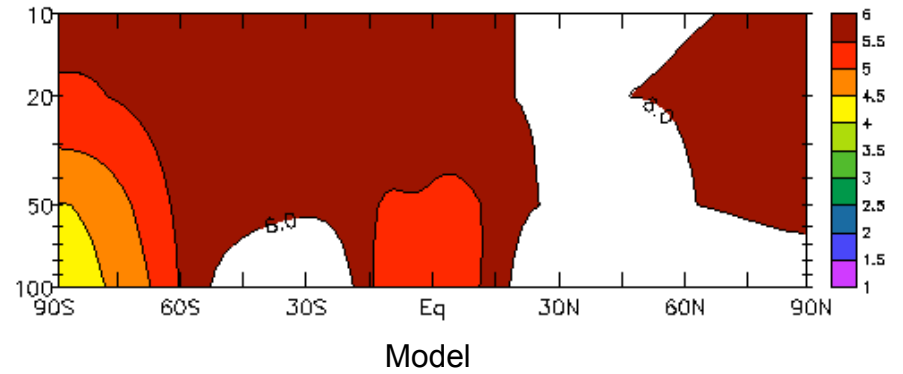


24 vertical levels  
**Experimental GFDL AM3**

Link between Cumulus Entrainment  
 and PBL TKE



Zonal mean specific humidity (mg/kg), ann





# Mass-Flux Cumulus Parameterizations

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- Most GCMs use mass-flux cumulus parameterizations
- No convective overshooting
- Cloud top at level of neutral buoyancy (LNB) where

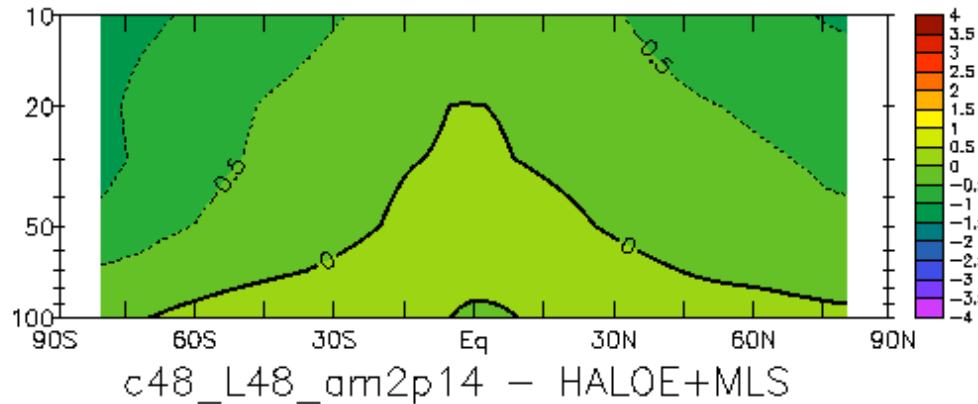
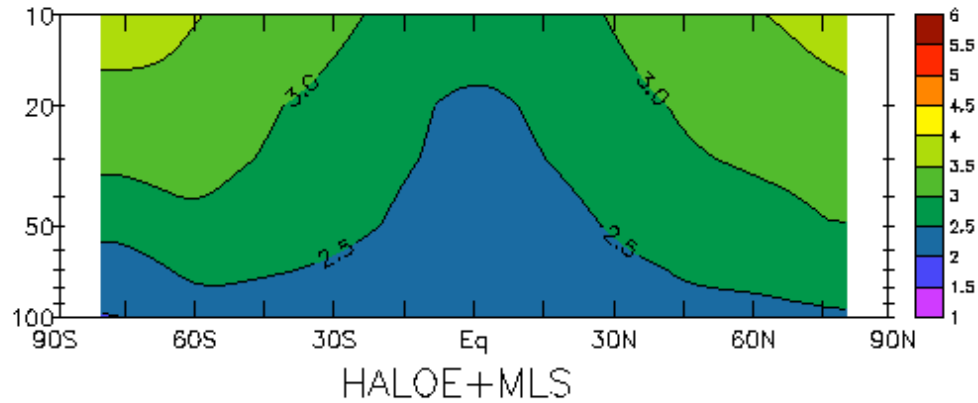
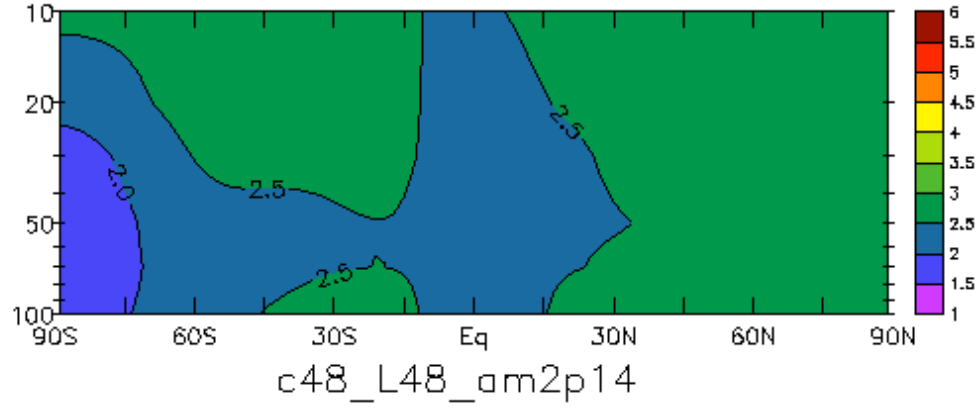
$$T_{grid-mean} = T_c$$

$$\frac{d}{dz}(MassFlux) = f_1(Entrainment)$$

$$\frac{d}{dz}T_c = f_2(T_{grid-mean}, Entrainment)$$

GCM *without* convective overshoots can simulate reasonable stratospheric water vapor. Not consistent with Webster and Heymsfield (2003).

Zonal mean specific humidity (mg/kg), ann



GFDL AM2  
48 levels  
cubed-  
sphere  
(GFDL  
GAMDT,  
2004, JCL)



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GCMs with convective overshooting under development. Mass fluxes, water vapor, ice lofting, and tracer transport differ from GCMs without convective overshooting.



# Cumulus Parameterizations: Mass Fluxes & Vertical Velocities

- Convective overshooting
- Cloud top where  $w_c=0$
- Cloud tops above or below LNB

$$\frac{d}{dz}(\text{Mass Flux}) = f_1(\text{Entrainment})$$

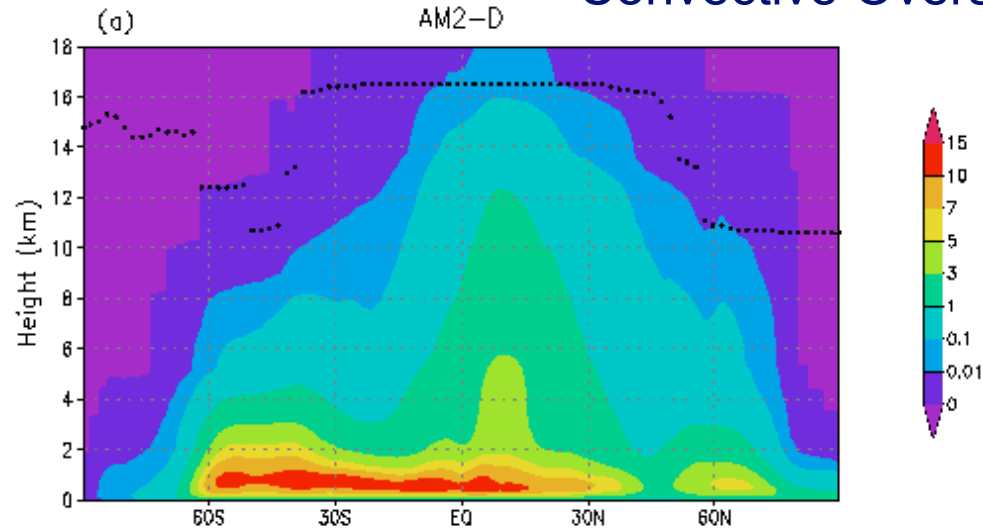
$$\frac{d}{dz}T_c = f_2(T_{\text{grid mean}}, \text{Entrainment})$$

$$\frac{d}{dz}w_c = f_3(T_{\text{grid mean}}, \text{Entrainment}, \text{Microphysics})$$

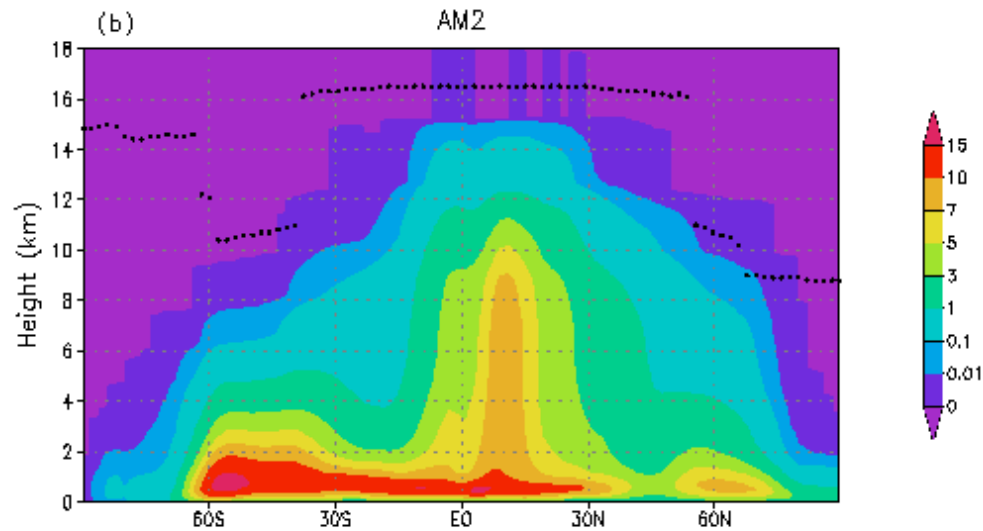
$$\frac{d}{dz}(\text{Microphysics}) = f_4(w_c, T_{\text{grid mean}}, \text{Entrainment})$$

Mass Flux ( $\text{g m}^{-2} \text{s}^{-1}$ )  
August–September

## Convective Overshooting



## No Convective Overshooting

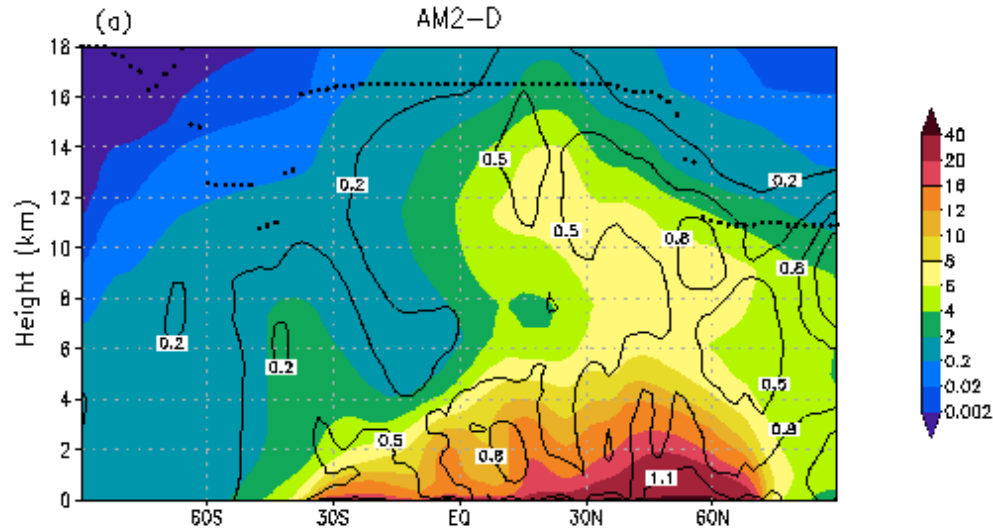


from  
Donner et  
al. (2007,  
*JGR*)

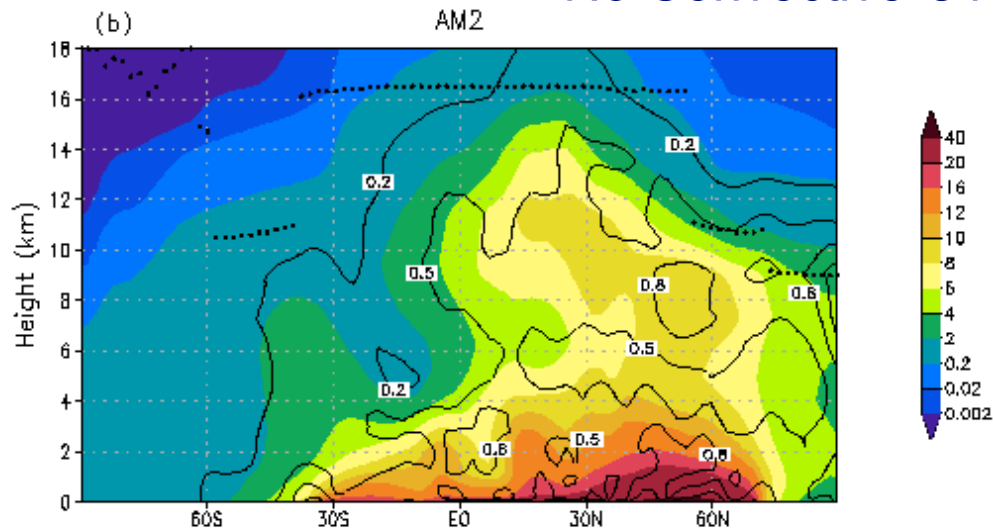


Radon-222 ( $10^{-21}$  volume mixing ratio)  
July

## Convective Overshooting



## No Convective Overshooting

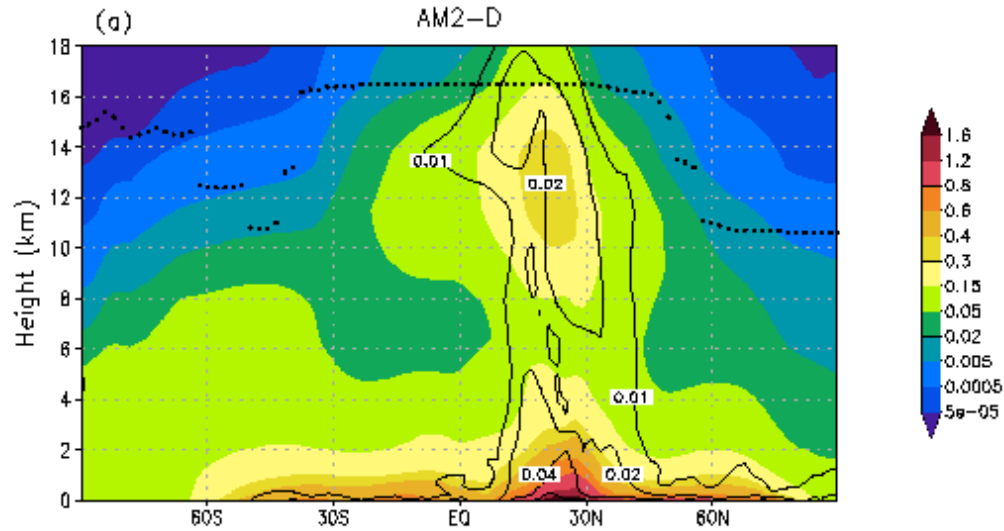


Solid lines  
indicate  
standard  
deviations.

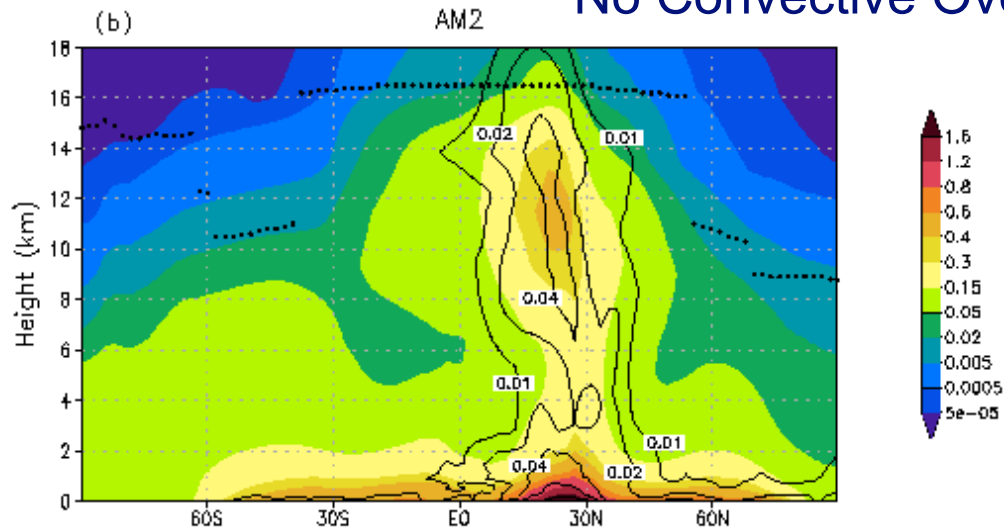
from  
Donner et  
al. (2007,  
*JGR*)

Methyl iodide ( $10^{-12}$  volume mixing ratio)  
August–September

## Convective Overshooting



## No Convective Overshooting



Solid lines  
indicate  
standard  
deviations.

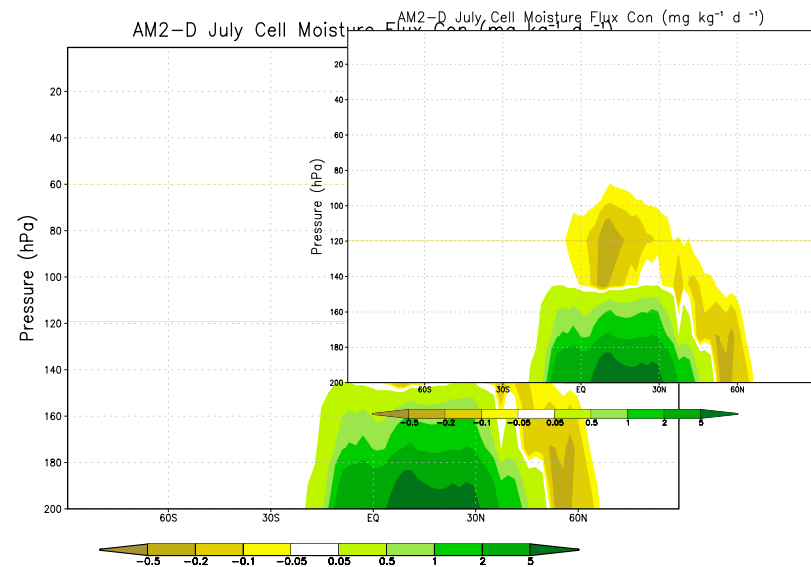
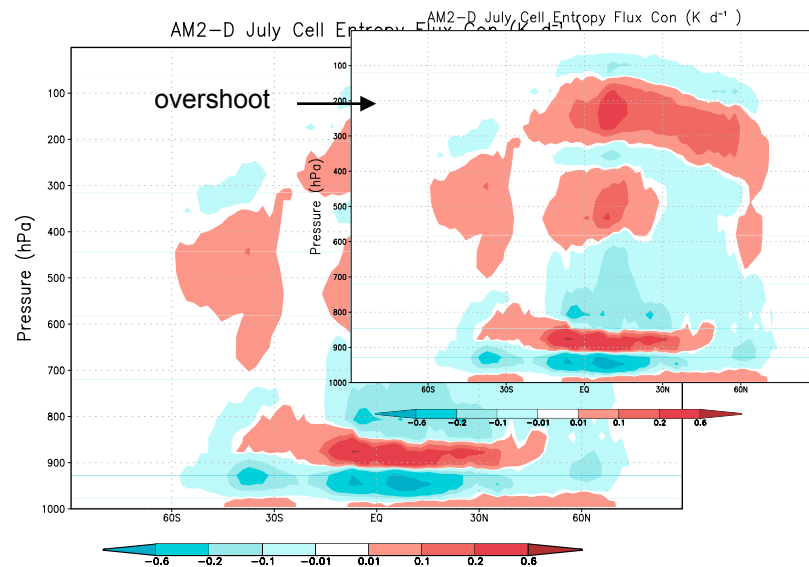
from  
Donner  
et al.  
(2007,  
*JGR*)

# Methyl Iodide “Convective Index”

	<i>Bell et al. (2002, JGR) OBS</i>	<i>AM2-D Overshoot</i>	<i>AM2 No Overshoot</i>
N. Pac.	.22	.21	.37
Hawaii	.20	.19	.38
Christmas I.	.24	.28	.43
Fiji	.16	.18	.25
Tahiti	.23	.21	.26

Convective index is ratio of concentrations in layer 8-12 km to layer 0-2.5 km.

# Dehydration in Convective Overshoots in GFDL AM2-D



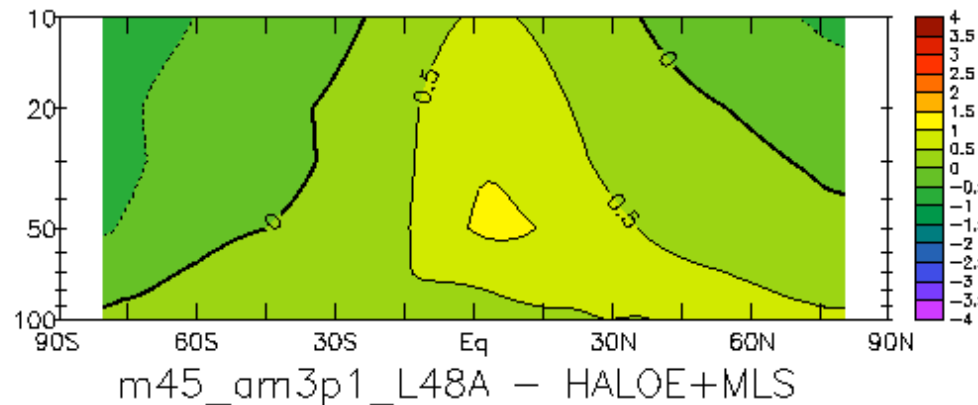
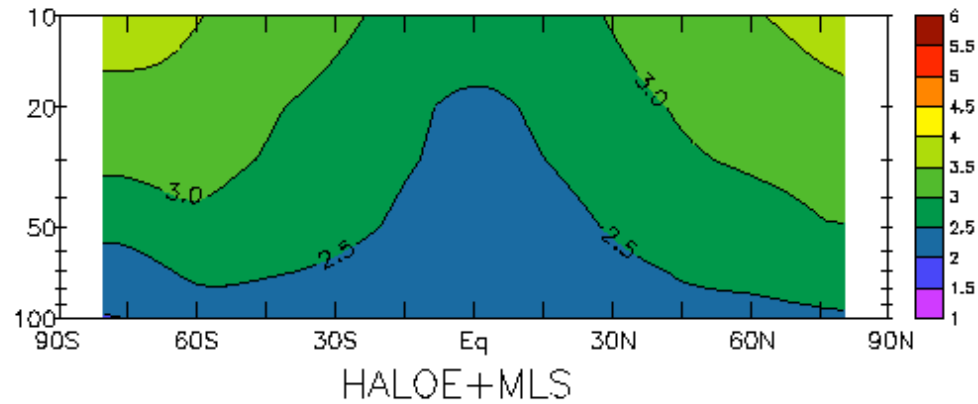
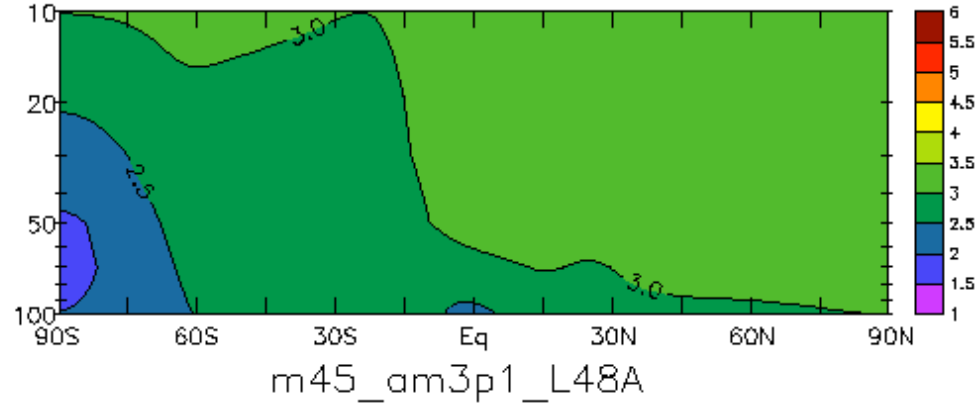


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Extent of convective overshooting depends strongly on details of formulation of cumulus parameterization

No Link  
between  
PBL TKE  
and  
Cumulus  
Entrainment

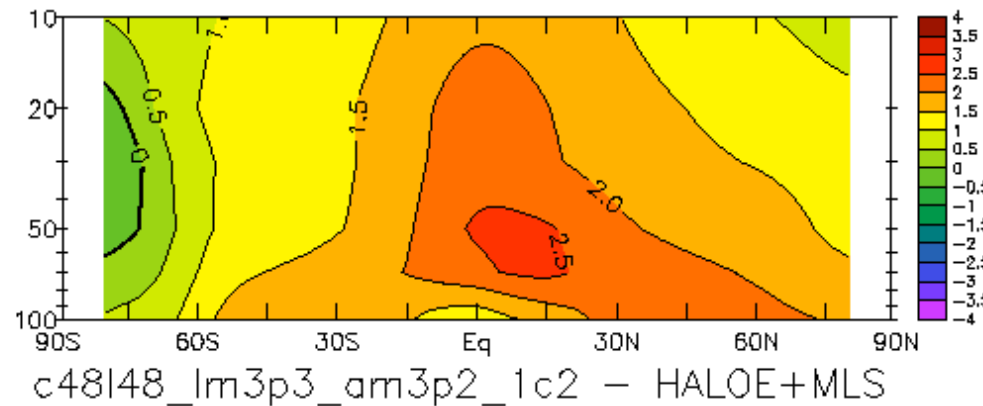
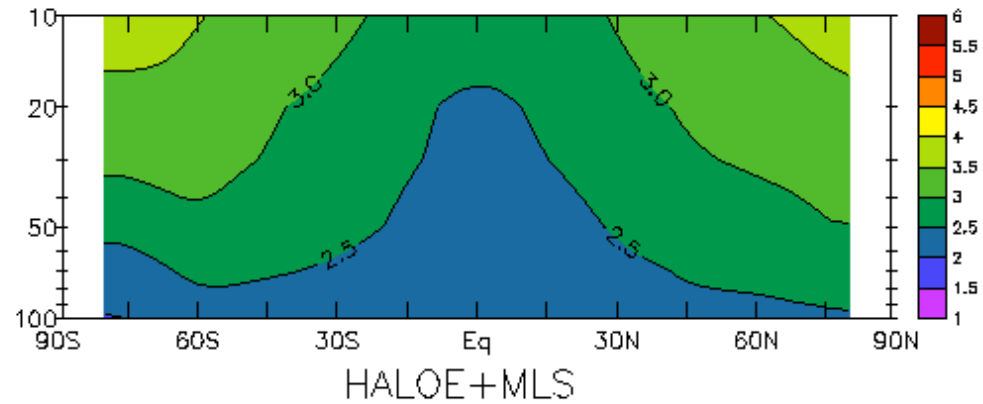
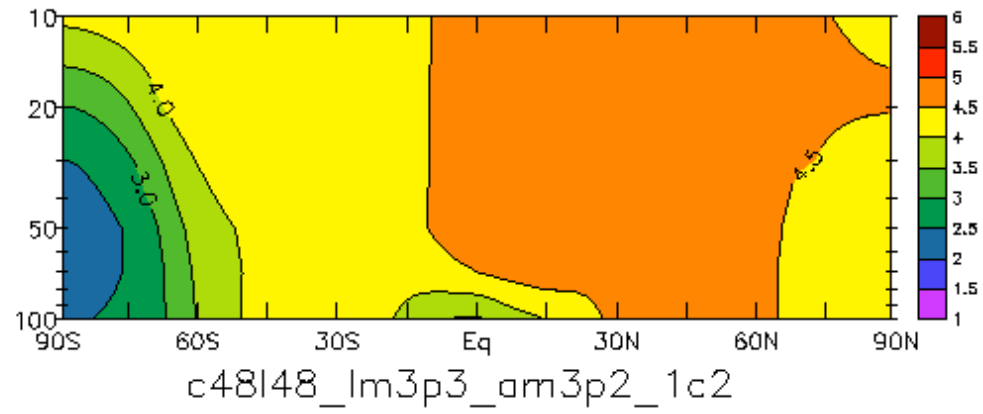
Zonal mean specific humidity (mg/kg), ann



Experimental  
GFDL AM3

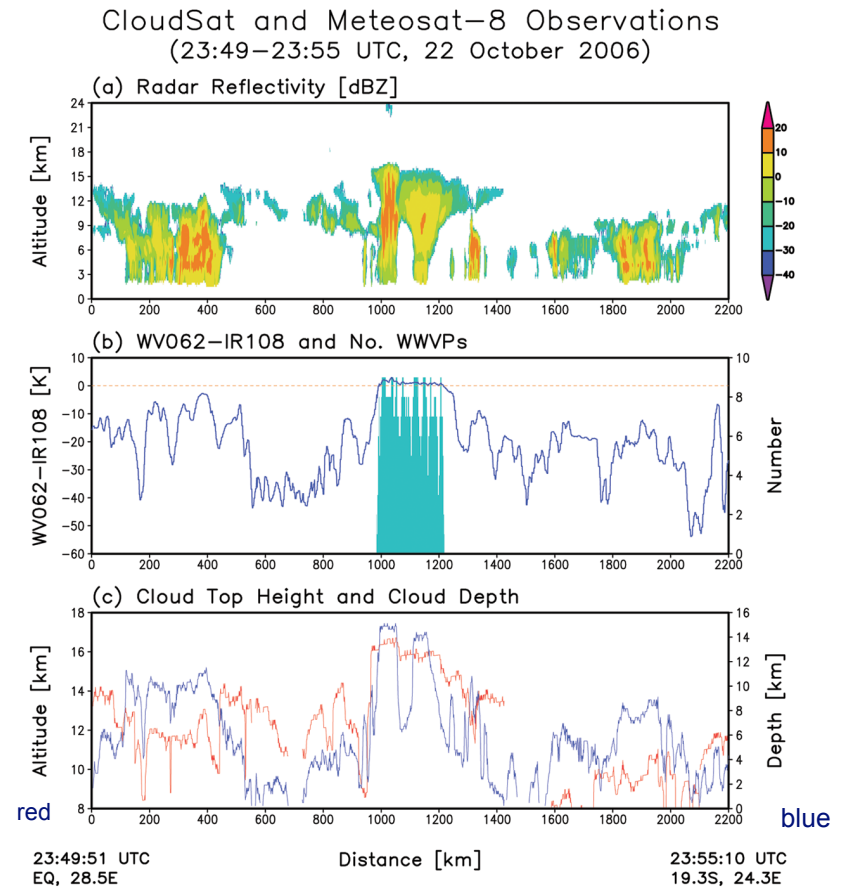
# Link between PBL TKE and Cumulus Entrainment

Zonal mean specific humidity (mg/kg), ann



# Evaluating Overshooting in GCMs: Isotope and Satellite Analysis

Brightness temperature  
Difference (water vapor-  
IR window channel)  
related to overshoot  
extent (e.g., Schmetz et  
al., 1997, *Adv. Space  
Res.*)



from Chung et al. (2008, *GRL*)



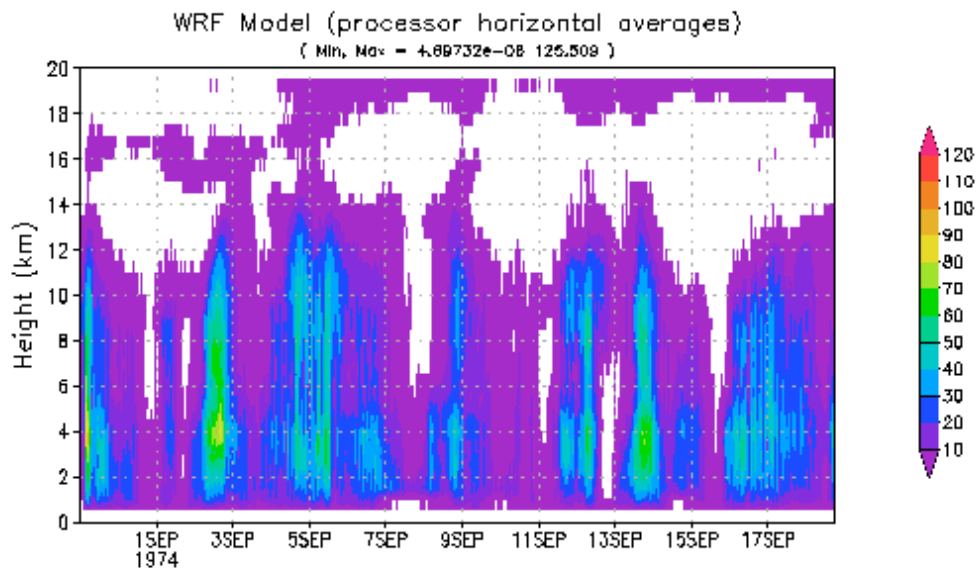


# Cloud-System-Resolving Models

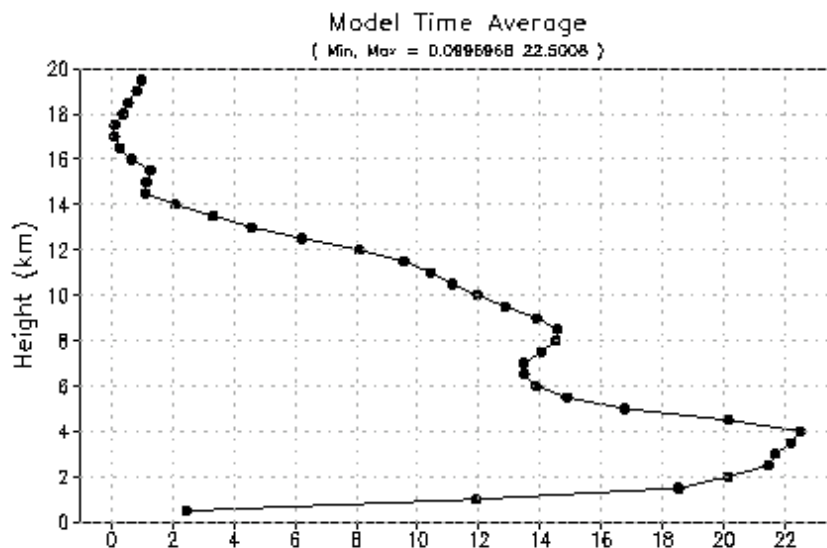
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- Lu et al. (2000, *JGR*): 5-day GATE simulation exhibited stratosphere-troposphere exchange
- Mullendore et al. (2005, *JGR*): STEPS simulation shows boundary-layer tracer 1 km above tropopause, diluted to 26% of original concentration

GATE  
Domain Averaged Profiles  
Upward Mass Flux ( $\text{g m}^{-2} \text{s}^{-1}$ )

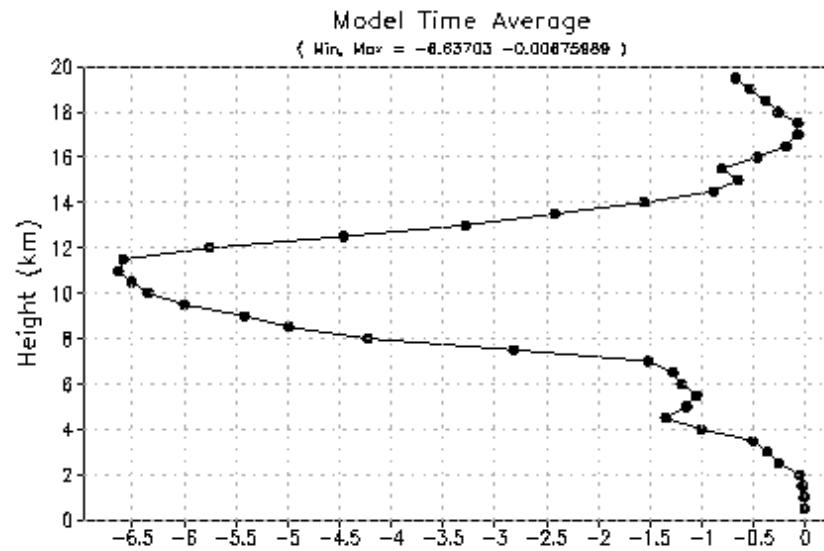
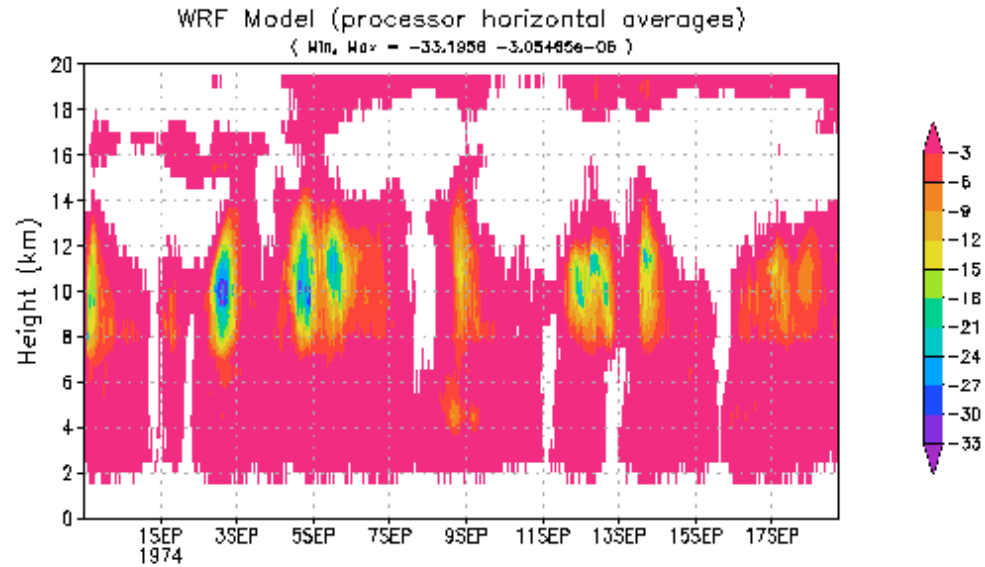


3-D CSRM  
84 x 84 x 20 km  
2 km hor res  
500 m vert res

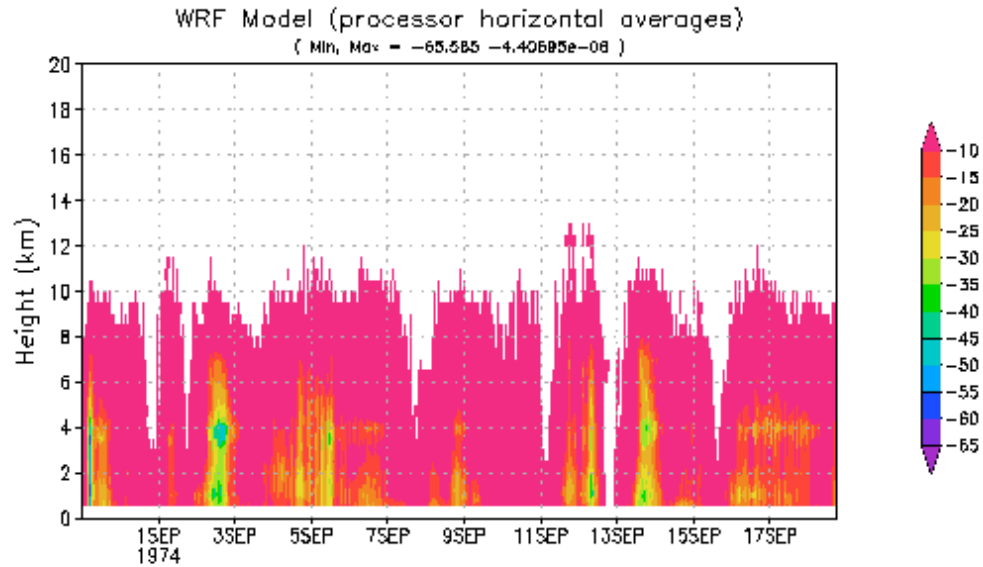


GATE  
Domain Averaged Profiles  
Saturated Downward Mass Flux ( $\text{g m}^{-2} \text{s}^{-1}$ )

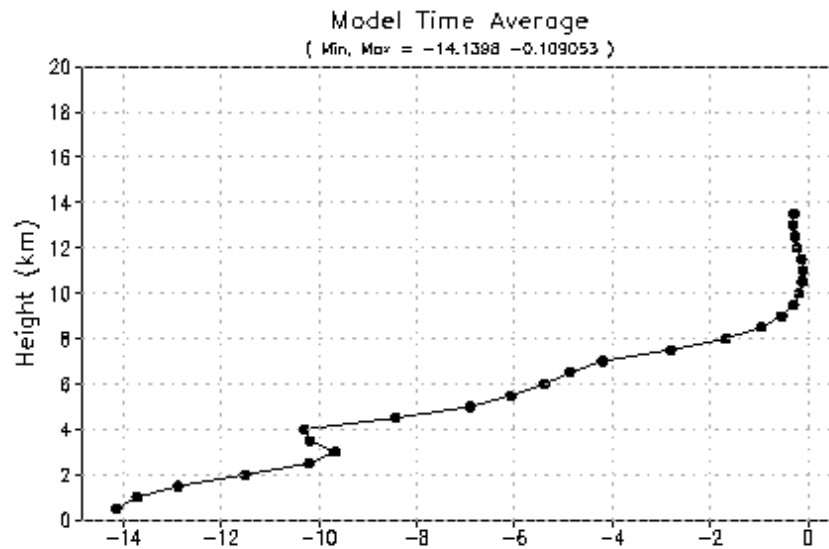
3-D CSRM  
84 x84 x 20 km  
2 km hor res  
500m ver res



GATE  
Domain Averaged Profiles  
Unsaturated Downward Mass Flux (with precip) ( $\text{g m}^{-2} \text{s}^{-1}$ )



3-D CSRM  
84 x 84 x 20 km  
2 km hor res  
500m ver res





# Conclusions

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- Observed stratospheric water vapor trends not successfully modeled by GFDL AM presently, due to problematic sub-grid physical processes and possible uncertainty of advection representation. GCM mean water vapor simulations agreeing with observations likely are not physically robust.
- Field experiments with isotopic measurements strongly indicate overshooting convection, advection, and microphysics all crucial to stratosphere water budget.
- GCM overshooting cumulus parameterizations have been developed and are being implemented but require substantial evaluation.
- CSRMs are a strong candidate for bridging field programs and GCM development. CSRMs should be evaluated using isotope observations.